



County Offices  
Newland  
Lincoln  
LN1 1YL

20 July 2016

**Overview and Scrutiny Management Committee**

A meeting of the Overview and Scrutiny Management Committee will be held on **Thursday, 28 July 2016 at 10.00 am in Committee Room One, County Offices, Newland, Lincoln LN1 1YL** for the transaction of the business set out on the attached Agenda.

Yours sincerely

A handwritten signature in black ink, appearing to be 'Tony McArdle', written over a horizontal line.

Tony McArdle  
Chief Executive

**Membership of the Overview and Scrutiny Management Committee (17 Members of the Council and 4 Added Members)**

Councillors P J O'Connor (Chairman), Mrs A M Newton (Vice-Chairman), C J T H Brewis, A Bridges, Mrs J Brockway, M Brookes, P M Dilks, R L Foulkes, A G Hagues, A J Jesson, C E D Mair, C E H Marfleet, Mrs M J Overton MBE, R B Parker, C L Strange, Mrs C A Talbot and R Wootten

**Added Members**

Church Representatives: Mr S C Rudman and Mr P Thompson

Parent Governor Representatives: Mrs P J Barnett and Dr E van der Zee



**OVERVIEW AND SCRUTINY MANAGEMENT COMMITTEE AGENDA  
THURSDAY, 28 JULY 2016**

<b>Item</b>	<b>Title</b>	<b>Pages</b>
1	<b>Apologies for Absence/Replacement Members</b>	
2	<b>Declaration of Members' Interests</b>	
3	<b>Minutes of the meeting held on 30 June 2016</b>	5 - 12
4	<b>Chairman's Announcements</b>	Verbal Report
5	<b>Consideration of Call-Ins</b> <i>(To consider Call-Ins, should any be received)</i>	Verbal Report
6	<b>Proposal for Scrutiny Reviews</b> <i>(To consider proposals for scrutiny reviews, should any be received)</i>	Verbal Report
7	<b>Consideration of Councillor Calls for Action</b> <i>(To consider Councillor Calls for Action, should any be received)</i>	Verbal Report
8	<b>Review of Financial Performance 2015/16</b> <i>(To receive a report by the County Finance Officer which describes the Council's financial performance for 2015/16 and makes proposals for the carry forward of under and over spendings into the current financial year)</i>	13 - 44
9	<b>East Lindsey Local Plan</b> <i>(To receive a report by the Environment Commissioner which sets out the key areas of East Lindsey District Council's consultation on its draft Local plan where Lincolnshire County Council would wish to engage in further discussion on the content of the Local Plan)</i>	45 - 56
10	<b>Combined Authority - Scrutiny Arrangements</b>	To Follow
11	<b>Review of Scrutiny Update</b> <i>(To receive a report from the Head of Democratic Services and Statutory Scrutiny Officer which updates members on the work of the Review of Scrutiny Working Group and seeks endorsement of the Scrutiny protocol attached as Appendix A)</i>	57 - 64
12	<b>Overview and Scrutiny Work Programme</b> <i>(To receive a report from the Head of Democratic Services and Statutory Scrutiny Officer which enables the Overview and Scrutiny Management Committee to consider both its own work programme and the scrutiny committee work programmes for 2016/17)</i>	65 - 90

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**Please note:** for more information about any of the following please contact the Democratic Services Officer responsible for servicing this meeting

- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details set out above.

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## OVERVIEW AND SCRUTINY MANAGEMENT COMMITTEE 30 JUNE 2016

### **PRESENT: COUNCILLOR P J O'CONNOR (CHAIRMAN)**

Councillors Mrs A M Newton (Vice-Chairman), C J T H Brewis, Mrs J Brockway,  
M Brookes, R L Foulkes, A G Hagues, A J Jesson, C E D Mair,  
Mrs M J Overton MBE, R B Parker and C L Strange.

### **Added Members**

Church Representatives: Mr S C Rudman.

Parent Governor Representatives: Mrs P J Barnett.

Officers in attendance:-

Miriam Binsztok (Senior Commissioning Officer), Simon Evans (Health Scrutiny Officer), Tracy Johnson (Senior Scrutiny Officer), Heather Sandy (Chief Commissioning Officer for Learning), Daniel Steel (Scrutiny Officer), Nigel West (Head of Democratic Services and Statutory Scrutiny Officer), Richard Wills (Executive Director, Environment and Economy), Catherine Wilman (Democratic Services Officer) and James Sharples (Senior Project Manager).

### 12 APOLOGIES FOR ABSENCE/REPLACEMENT COUNCILLORS

Apologies for absence were received from:

Councillors A Bridges, P M Dilks, C E H Marfleet, Mrs C A Talbot, R Wootten;

Added Members: Mr P Thompson, Dr E van der Zee.

### 13 DECLARATION OF MEMBERS' INTERESTS

No interests were declared.

### 14 MINUTES OF THE MEETING HELD ON 26 MAY 2016

#### RESOLVED

That the minutes of the meeting held on 26 May 2016 be approved and signed by the Chairman as a correct record, subject to an amendment to include Added Member, Mr Steve Rudman in the attendance.

**OVERVIEW AND SCRUTINY MANAGEMENT COMMITTEE  
30 JUNE 2016**15 CHAIRMAN'S ANNOUNCEMENTS

The Chairman stated that at its last meeting on 7 June 2016, the Executive had considered the Blue Light Collaboration Project which had been previously considered by the Community and Public Safety Scrutiny Committee on 1 June. Copies of the comments raised by the Scrutiny Committee were circulated to members of the Executive at the meeting for their consideration. The Scrutiny Committee had been praised for the work carried out in relation to this item and the positive outcomes reached as a result of good scrutiny.

16 CONSIDERATION OF CALL-INS

No Call-Ins had been received.

17 PROPOSAL FOR SCRUTINY REVIEWS

No Scrutiny Reviews had been proposed.

18 CONSIDERATION OF COUNCILLOR CALLS FOR ACTION

No Councillor Calls for Action had been received.

19 DEVELOPING A MODEL FOR THE CHARGING OF LINCOLNSHIRE  
COUNTY COUNCIL SERVICES TO SCHOOLS

A report was considered which invited the Committee to consider a report on Developing a Model for the Charging of Lincolnshire County Council Services to Schools which was due to be considered by the Executive on 5 July 2016. The views of the Committee would be reported to the Executive as part of its consideration of the item.

The Overview and Scrutiny Management Committee wished to record its qualified support for the proposals, on the basis that the Committee would have wanted more information in the decision report on the financial support for small schools, especially those in rural areas; which services might be discontinued as a result of the introduction of charges; and the overall costs and the charges for individual services. The Committee would like to see a further report, in particular covering the charges for individual services.

The Committee concluded that it supported recommendations 1, 2, 3, 4 and 5. In relation to recommendations 6, 7 and 8 the Committee wished the Executive to note the following comments:

- (1) The Committee believed that the report should be seen as dealing with the principles of charging, but the Executive should ensure that there is a business plan before services are finally offered. Any business plan should be based on the following principle:

**OVERVIEW AND SCRUTINY MANAGEMENT COMMITTEE**  
**30 JUNE 2016**

- Prices should be based on market rate and affordability with costs adjusted to match; it could not be based on the existing cost divided by an unknown number of users.
- (2) The Overview and Scrutiny Management Committee also wished the following concerns to be evaluated by the Executive:
- There should be risk assessments of viability of services for schools that wish to buy services, if other schools do not purchase those services.
  - Monitoring should take place of the effect of the new policy on children (including safeguarding and equality of opportunity).

Individual members of the Committee also raised the following additional comments.

- Everyone involved in the process should bear in mind there should not be any detrimental effect on pupils as a result of the implementation of the charging arrangements;
- It was important that the information available on services to schools be of high quality, particularly as other local authorities and providers issued brochures of the services offered;
- It was also important to prioritise the proposed e-commerce arrangements, so that schools could easily opt into the services;
- In the case of very small schools or other appropriate instances, the model could include the waiver of charges in specially defined circumstances;
- Some services, such as the advice service on energy efficiency, were of high quality and were likely to thrive;
- It was quite likely that some of the services offered, which were non-essential, would not be bought by schools, and these services would as a result be discontinued;
- Where a school opts for an alternative provider, and the alternative provider fails or offers poor service provision, the County Council would not be exposed to additional risk beyond its statutory obligations. The context for introducing charges at this time should be borne in mind, when several schools have experienced difficulties with a certain contractor, which is also used by the County Council;
- There should be further consideration of this topic by the Overview and Scrutiny Management Committee at future meetings, regarding the business plan and level of charges.

Individual members of the Committee made several observations on the level of information contained in the report:

- The report should have included information on the proposed level of charges, supported by case studies for certain types of school; and the sorts of services they would be expected to purchase;

- Ideally the report should have included feedback from a sample of schools, particularly small rural primary schools, with their views on the proposed charging arrangements, and possibly the level of charges;
- The report could have included information on the approaches of other local authorities and providers, for example, with information on the sorts of charges they were making and the level of their charges;

Points of Clarification by Officers at Overview and Scrutiny Management Committee

- (1) The purpose of the programme was to recover the costs of services from schools. It was not possible for the Council to make a surplus on any charges to schools. The provision of services on a commercial basis could only be achieved by the creation of a commercial entity and there was no intention to create one as part of this programme;
- (2) Where a statutory duty fell to a school or academy, it was the responsibility of the head teacher and governing body of the school or academy to deliver that duty. Similarly it was the responsibility of the local authority to deliver its own statutory duties. The local authority's liability was limited to the delivery of its own statutory duties, although it would seek to assist schools where services purchased from elsewhere led to difficulties;
- (3) In relation to "Education Support – Free School Meal Eligibility Checking Service for Schools" the officers stated that the indicative charge would be 50 pence per eligible pupil, with no charge made to the school for those pupils found not to be eligible for free school meals. The justification for this charge would be that schools would receive additional funding as a result of the identification of each eligible pupil;
- (4) In relation to the "Domestic Abuse MARAC Training for Staff in Schools" this item related to bespoke training for schools on safeguarding matters, in addition to the website training already available, which would meet the statutory duty for schools and academies.
- (5) The proposed flat rate charge of £6,500 for schools converting to academy status, if adopted, would be applied in all instances and related to the local authority's own costs. It did not include the advice that schools might need to access to facilitate their conversion to an academy;
- (6) The additional funding for academies through the Education Support Grant was gradually being removed. The same level of charges would be applied to schools and academies, except for instances where there was a duty for the local authority to support schools;
- (7) As schools prioritised their activities based on their own individual needs, which differ from school to school, a case study of a typical primary school, for example, would not be representative;



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- (8) Thorough monitoring service activity and finances, as well as tracking website activity, management reports would be compiled to demonstrate the viability of each service offered. Based on this information, service leads could decide whether to continue with the delivery of a service.

RESOLVED

1. That the Committee supports in principle the recommendations in the report to the Executive.
2. That the above comments be passed onto the Executive for its consideration;
3. That a report on the individual charges be brought to a future meeting of the Committee.

20 VERBAL UPDATE ON REVIEW OF SCRUTINY WORKING GROUP

The Head of Democratic Services and Statutory Scrutiny Officer reported that the Review of Scrutiny Working Group was continuing to look at the culture of scrutiny within the authority. A delegation had visited City of Lincoln Council, which had won an award for a piece of scrutiny work around poverty in Lincoln. Also being undertaken was research on cultures at other authorities and the Working Group would continue on this theme going forward.

RESOLVED

That the verbal update on the Review of Scrutiny Working Group be noted.

21 OVERVIEW AND SCRUTINY WORK PROGRAMME

Consideration was given to a report which enabled the Committee to consider its own work programme and the work programmes from the scrutiny committees for 2016.

With reference to Minute 10 from the minutes of the meeting on 26 May 2016, issues were raised regarding the shadow Combined Authority, which had emerged from the Devolution proposals, and the lack of scrutiny in place to hold the shadow combined authority to account. The Chairman agreed to raise this issue with the Leader.

Overview and Scrutiny Management Committee

An informal budget workshop had been organised for the Committee on 27 July and it was suggested that this be open to all Members of the Council to attend.

Discussions took place regarding the effects that the recent vote to leave the EU would have on the authority and it was agreed that briefing notes be provided for Members on how current EU law affected the authority and how the long term effects of leaving the EU could be planned for.

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It was agreed the East Lindsey Local Plan be added to the work programme for 28 July meeting.

Adults Scrutiny Committee

There were no amendments to the published work programme.

Children and Young People Scrutiny Committee

There were two amendments to the published work programme;

The report on Post 16 Strategic Priorities and Area Based Review had been removed from the agenda for 15 July. There would now be a small working group set up instead to meet with officers in July to discuss and inform this work prior to the Executive Councillor Decision report coming to the September meeting.

The report on Children's Health Services had been deferred from the 9 September meeting to the 21 October meeting.

Community and Public Safety Scrutiny Committee

There were no changes to the published work programme. It was noted that the Blue Light Collaboration Project and the Integrated Risk Management Plan were linked.

The Integrated Risk Management Plan would be considered at a special meeting of the Community and Public Safety Scrutiny Committee on 26 July, 2016 at 2.30pm.

Economic Scrutiny Committee

There were no changes to the published work programme.

Environmental Scrutiny Committee

There were no changes to the published work programme.

Flood and Drainage Management Scrutiny Committee

There were no changes to the published work programme.

Health Scrutiny Committee for Lincolnshire

There were no changes to the published work programme.

The members of the Quality Accounts Working Group were thanked for their work.

In relation to the item on 20 July 2016 on the Response of the East Midlands Ambulance Service (EMAS) to their Care and Quality Commissioning Inspection, the Health Scrutiny Committee was required to bear in mind the contribution of LIVES

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(Lincolnshire Voluntary Emergency Services) and Lincolnshire Fire and Rescue Co-Responders to the EMAS response time information.

Highways and Transport Scrutiny Committee

There was no change to the published work programme.

Value for Money Scrutiny Committee

There was no change to the published work programme.

**RESOLVED**

1. That the content of the Overview and Scrutiny Management Committee Work Programme as set out in Appendix A of the report be noted.
2. That the work programmes from the Overview and Scrutiny Management Committee set out at Appendix B of the report be noted.
3. That the Working Group activity set out at Appendix C of the report be noted.
4. That the work programmes, in light of the Executive Forward Plan, as set out in Appendix D of the report, be noted.

The meeting closed at 12.00 pm.

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**Open Report on behalf of Pete Moore, Executive Director Finance and Public Protection**

Report to:	<b>Overview and Scrutiny Management Committee</b>
Date:	<b>28 July 2016</b>
Subject:	<b>Review of Financial Performance 2015/16</b>

**Summary:**

The attached report will be considered by the Executive at its meeting on 6 September 2016.

It describes the Council's financial performance for 2015/16 and makes proposals for the carry forward of over and under spendings into the current financial year.

**Actions Required:**

The Committee is asked to note the report and consider whether there are any particular matters it wishes the Executive to consider.

## **1. Background**

**APPENDIX 1** sets out the Council's financial performance in 2015/16, it identifies and explains variances from the revenue and capital budget, and makes proposals for use of the carry forward of under and over spendings into the current financial year. Please note due to the problems experienced with the new financial system and the impact this has had on the Council's ability to close the financial year 2015/16 the outturn position on schools budgets and spend is not complete at the date of preparing this report. The outturn position may change, however, the principles set out in this report will remain the same.

**TABLE A** over page summarises how the Executive plan to use the Council's underspend from 2015/16 – full details explaining this are set out in the Executive report attached at Appendix 1 (paragraphs 1.74 to 1.78).

**TABLE A – Proposed use of 2015/16 Carry Forwards and Reserves**

	<u>£'000</u>
Council Underspend for 2015/16:	39,190
Reserves identified as no longer required to be released back into general balances:	473
<u>The following amounts are allocated from the underspend in line with the Council's Financial Strategy:</u>	
Up to 1% carry forward of Commissioning Strategy underspends:	-4,029
<ul style="list-style-type: none"> <li>• Schools to carry forward the whole of their underspend (ring fenced funding). <i>Note the final outturn on the schools budgets is not yet known, due to on going issues with the payroll information from Agresso. The reported underspend here may change in light of this work.</i></li> </ul>	-18,801
<ul style="list-style-type: none"> <li>• Legal Shared Services and Procurement Lincolnshire to carry forward all of their underspend. <i>Note the underspend for 2015/16 all relates to Legal Shared Services.</i></li> </ul>	-538
<ul style="list-style-type: none"> <li>• Schools Repairs and Maintenance carry forward underspend to be used in 2016/17.</li> </ul>	-266
<u>The following reserves are used to fund year end under/overspend in revenue budgets (in line with the Council's Financial Strategy):</u>	
<ul style="list-style-type: none"> <li>• Adverse Weather Reserve <i>The Winter Maintenance Budget underspent by £0.753m in 2015/16, however, as the reserve stands at £1.0m no further additions to the reserve are proposed.</i></li> </ul>	0
<ul style="list-style-type: none"> <li>• Insurance Reserve (surplus in revenue - contribution to reserve) <i>Proposal to transfer the underspend into the reserve. Balance before transfer = £5.087m.</i></li> </ul>	-175
<ul style="list-style-type: none"> <li>• Schools Sickness Insurance Reserve <i>The balance to be transferred into reserve has not yet been identified, however this will come off schools carry forward above.</i></li> </ul>	TBC
<ul style="list-style-type: none"> <li>• Museum Exhibits Reserve (deficit in revenue - drawdown from reserve)</li> </ul>	9
<b>Amount available after Financial Strategy allocations:</b>	<b><u>15,863</u></b>
<u>The following allocations are for consideration for the remaining underspend:</u>	
<ul style="list-style-type: none"> <li>• Business As Usual Reserves: Including: Health and Wellbeing Reserve Interest transfer, Civil Parking Enforcement and Fixed Penalty Notice underspends which under statute need to remain in this service area, and transfers for two, formerly ring-fenced grants - Flood and Water Risk Management and Lincolnshire Community Assistance Scheme (LCAS).</li> </ul>	-708
<ul style="list-style-type: none"> <li>• Other Service Bids: Including £1m for Waste Recycling Costs, £0.880m for the Heritage Service,</li> </ul>	-1,980
<b>Balance remaining to be added into the financial volatility reserve:</b>	<b><u><u>13,175</u></u></b>
<p><i>Current position in the Financial Volatility Reserve:</i></p> <ul style="list-style-type: none"> <li>- £20.165m to fund the 2016/17 Budget Shortfall.</li> <li>- £11.470m is currently available for 2017/18 and beyond.</li> </ul>	

## 2. Conclusion

Comments from this Committee will be reported to the Executive on 6 September 2016.

## 3. Consultation

### a) Policy Proofing Actions Required

n/a

## 4. Appendices

These are listed below and attached at the back of the report	
Appendix 1	Executive Report (6 September 2016) Review of Financial Performance 2015/16

## 5. Background Papers

No background papers within Section 100D of the Local Government Act 1972 were used in the preparation of this report.

This report was written by David Forbes, who can be contacted on 01522 553642 or david.forbes@lincolnshire.gov.uk.

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**Open Report on behalf of Pete Moore, Executive Director  
 Finance and Public Protection**

Report to:	<b>Executive</b>
Date:	<b>6 September 2016</b>
Subject:	<b>Review of Financial Performance 2015/16</b>
Decision Reference:	<b>I010797</b>
Key decision?	<b>Yes</b>

**Summary:**

This report:

- describes the Council's financial performance in 2015/16;
- identifies and explains variances from the Council's revenue and capital budgets;
- makes proposals on the carry forward of over and under spendings into the current financial year; and
- reports Prudential and Financial Performance Indicators for 2015/16.

**Recommendation(s):**

The Executive is recommended to:

- (1) recommend to full Council that the Information and Commissioning underspend up to 1.0% is not carried forward as part of the Information and Commissioning budgets but is added to the underspends above 1.0% for the purposes of recommendation 2 below;
- (2) recommends to full Council that the proposals in paragraph 1.77 of the Report relating to the treatment of underspends in excess of 1.0% be approved;
- (3) note the transfers to and from reserves carried out in 2015/16 as set out in **Table D**; and
- (4) note financial performance in 2015/16 including the Prudential Indicators.

**Alternatives Considered:**

This report describes the actual position for the 2015/16 financial year and is factual in content and follows current Council policy. No alternatives are being considered in relation to this aspect.

In relation to the treatment of the Information and Commissioning underspend up to 1.0% this could be carried forward as part of the Information and Commissioning budgets in the normal way. However, in the judgment of the service the amounts involved are not required and this enables them to be used to manage the wider financial challenges facing the Council by supplementing reserves.

In relation to the treatment of overspends and underspends above 1.0% as set out in paragraph 1.77, there are a number of different ways these could be used. The proposed mix of funding service expenditure and transfers to reserves is considered to be the most prudent and appropriate approach for the Council.

**Reasons for Recommendation:**

Financial governance requires that the Executive reviews the financial performance of each year. This report facilitates this.

The treatment of underspends and overspends are considered appropriate and prudent for managing the financial challenges facing the Council.

**1. Background**

1.1 The County Council set its spending plans for 2015/16 against a backdrop continued uncertainty in local government funding brought about by a general election in May 2015 and the promise of a new Comprehensive Spending Review which would affect local government funding to the end of the decade. In developing the financial plan for 2015/16, the Council has undertaken a fundamental review of priorities and related budgets to identify how to close the gap between current spending levels and the amount of funding available to local government going forward. The Council plan was a mixed approach to match spending to current levels of government funding. This included delivering savings identified through the fundamental budget review plus the one off use of reserves and a 1.90% increase in Council Tax.

Revenue spending

1.2 The Council spent £941.360m in 2015/16 on providing public services – £1,283.91 for every person in Lincolnshire.

1.3 The Council has had to deal with a number of unavoidable cost pressures, amounting to £30.580m in budgetary terms. These include: increasing population (in particular, the impact on the Council's adult care budgets of increasing numbers

of older people) and general inflationary pressures. Some of these were accommodated within existing budgets but some external pressures have added to the Council's costs and required additional savings to be delivered. These cost pressures in 2015/16 were balanced against a savings target of £30.733m; made up of savings including: improved contract arrangements and additional income for Adult Care responsibilities through the Better Care Fund (BCF).

1.4 In addition to saving targets, when the Council set its budget for 2015/16 the financial strategy included the use of £22.171m from reserves to bridge the gap between funding and expenditure levels (£21.871m from the Council's Financial Volatility Reserve and £0.300m released from the General Fund balance). Use of reserves only offers a one off contribution towards the budget shortfall, but, it is expected that the reduction in funding levels will be permanent. The Council continues to explore further opportunities to bridge the gap between the funding values to the Council and levels of expenditure.

1.5 The general level of expenditure in 2015/16 indicates that during the year the Council has been able to secure the cash efficiency savings required in the budget and deliver an underspend against the budget.

### Revenue Income

1.6 Revenue spending, excluding the use of reserves, was funded by:

• Revenue Support Grant	£94.7m	10.3%
• Business Rates	£103.7m	11.3%
• Dedicated Schools Grant	£248.8m	27.1%
• Other grants and contributions	£169.5m	18.5%
• Local council tax payers	£237.6m	25.9%
• Charges and other income	£63.2m	6.9%

1.7 The Council's main sources of general funding come from Revenue Support Grant (RSG) and Business Rates (part of which is retained from business rates collected in Lincolnshire and part from central government as a 'top up' to the element collected locally). Funding from RSG received in 2015/16, on a like for like basis showed a reduction of £32.661m or 25.65% from the grant received in 2014/15. Business Rates showed a small growth of £1.600m or 1.57%.

1.8 In addition to RSG the Council also receives specific government grants. The most significant of these was £248.8m of Dedicated Schools Grant which is used for funding education in Lincolnshire.

1.9 In 2015/16 the Council increased Council Tax by 1.90% and also saw growth of 1.85% on the number of band D equivalent properties in Lincolnshire which generated an additional £6.945m. The Council Tax element of the collection funds in Lincolnshire also generated a further £4.254m for the County Council.

## Capital spending and funding

1.10 The Council spent £107.105m on the county's assets, in particular on:

- Maintenance of roads, bridges, safety fencing, street lighting, signs and lines, and traffic signals;
- Integrated Transport Schemes across the Council including: minor capital improvements, rights of way, road safety, public transport and town/village enhancements;
- Construction of two new road schemes, one in Lincoln and another in Grantham;
- The Broadband Programme which is installing high speed internet infrastructure in communities and businesses, particularly in rural areas; and
- Programme of modernisation to meet the statutory responsibility for provision of educational places and a programme to improve the condition of school buildings.

1.11 This investment was funded through:

• Government Grants and other external contributions	£78.3m	72.8%
• Borrowing	£20.7m	19.7%
• Capital Receipts	£2.8m	2.6%
• Revenue Funding and Use of Earmarked Reserves	£5.3m	4.9%

1.12 The Council used grants from central government to fund: maintenance work on roads; modernisation and improvement of condition of school buildings; and provision of education places.

1.13 The Council sets itself a limit on its total borrowing to ensure that it remains prudent and affordable. The Council's target is to ensure that annual minimum revenue provision (MRP) plus interest are no more than 10.0% of the Council's annual income. The figure for 2015/16 was 5.75%. MRP is the amount required to be set aside as a provision for debt repayment, and in accordance with Regulation, this amount should be prudent to ensure debt is repaid over a period reasonably commensurate with the period over which the capital expenditure funded by borrowing provides benefits. The Council's current policy is to apply the average life method to calculate the MRP and use the MRP in full to repay debt annually.

### **The Council's financial standing**

1.14 The Council's revenue budget remains under pressure from reduced funding and service cost pressures. For 2015/16 and again in 2016/17 the Council has only set a one year budget, rather than the normal three year plans. This was due to the uncertainty associated with local government funding in the medium to long term and the continuation of growing costs pressures.

1.15 In developing the financial plan for 2016/17, the Council has considered all areas of current spending, levels of income and council tax plus use of one off funding to set a balanced budget. The Council plans to use a mixed approach,

funding unavoidable cost pressures and reducing service spending where savings were identified. The Council has also set a Council Tax increase in 2016/17 of 3.95%, 1.95% plus a further 2.00% for Adult Care responsibilities (including demographic pressure and the impact of the national living wage) and using £20.965m from reserves (£20.165m from the Financial Volatility Reserve and £0.800m which can be released from the general fund).

1.16 The Council also maintains a general reserve as a contingency against unexpected events or emergencies. The Council sets itself a target, based on a financial risk assessment, of maintaining these reserves within a range of 2.5% to 3.5% of its total budget. The Council's general reserves at 31 March 2016, as proposed in this report, would be £15.600m or 3.5% of the Council's total budget.

1.17 In addition to the general reserve and Financial Volatility Reserve the Council maintains a number of other reserves earmarked for specific purposes (details of these are set out in **TABLE D**).

1.18 The mixed approach to meeting the current financial challenges will ensure the Council can withstand the immediate pressures in local government funding, whilst implementing the arrangements for delivering services at the reduced level of government funding.

Key Financial Performance Measures: Financial Health and Performance

1.19 The County Council has identified a number of key indicators to monitor its Financial Health and Performance. **TABLE A** reports the actual position for 2015/16 on these key indicators.

**TABLE A – Key Financial Performance Measures: Financial Health and Performance**

REF	PERFORMANCE INDICATOR	MEDIUM TERM TARGET	2015/16 Estimate	2015/16 Actual
1	Council tax compared with other counties	In lowest quartile of all English county councils (out of 27 county councils)	Yes	Yes 25th
2	Government grants	Lobby for annual increases in general government grants to be above the county average.	Yes	Yes
3	Capital receipts	At least £15m over four years 2011/12 to 2014/15.	£2.000m	£2.768m
4	Minimum Revenue Provision and Interest	MRP and Interest repayments not to exceed 10% of net income	6.24%	5.75%
5	Accounting	Unqualified external audit opinion.	Yes	Not Yet Available
6	General Reserves	Maintained within the range of 2.5% to 3.5% of the annual budget requirement net of Dedicated Schools Grant	Within range 3.5%	Within range 3.5%
7	Internal control	None of the processes audited receive a low assurance" opinion from internal audit	Yes	Low Assurance opinion given on Financial Control Environment
8	Expenditure - prompt payment	At least 90% of undisputed invoices paid within 30 days	85.00%	87.70%
9	Treasury management	Risk adjusted return comparison	Weighted Benchmark	Weighted Benchmark 0.73%

## Revenue Budget Outturn

1.20 The revenue outturn for 2015/16 is summarised below:

- Total service revenue spending, excluding schools, was under spent by £11.252m or 2.62%.
- Schools were underspent by £18.802m or 7.04% of the schools budget. Please note due to the problems experienced with the new financial system and the impact this has had on the Council's ability to close the financial year 2015/16 the outturn position on school budgets and spend is not complete. This outturn position may change, however, all schools budget under or over spendings are carried forward to be used by schools in future years.
- There was an underspend of £11.925m on other budgets or 17.32%.
- The Council received £2.789m or 0.61% less general funding income than originally budgeted for.
- This give the Council an overall underspend of £39.190m.

1.21 The revenue outturn position for 2015/16 is shown in **TABLE B** (over page).

**TABLE B – Revenue Budget Final Outturn 2015/16**

	Revised Net Revenue Budget	Expenditure	Under or Over Spending	Percentage Under or Over Spent
	£'000	£'000	£'000	%
<b>COMMISSIONING STRATEGIES</b>				
Readiness for School	8,287	7,730	(557)	-6.72%
Learn & Achieve	35,658	35,764	106	0.30%
Readiness for Adult Life	6,452	5,634	(818)	-12.68%
Children are Safe and Healthy	54,072	54,281	209	0.39%
Adult Safeguarding	3,020	3,009	(11)	-0.36%
Adult Frailty & Long Term Conditions	96,272	95,853	(419)	-0.44%
Carers	1,673	1,538	(135)	-8.07%
Adult Specialities	45,837	44,941	(896)	-1.95%
Community Resilience & Assets	13,598	13,941	343	2.52%
Wellbeing	37,072	35,932	(1,140)	-3.08%
Sustaining & Developing Prosperity Through Infrastructure	60,677	57,040	(3,637)	-5.99%
Protecting & Sustaining the Environment	22,465	23,610	1,145	5.10%
Sustaining & Growing Business & the Economy	1,884	1,206	(678)	-35.99%
Protecting The Public	24,980	24,712	(268)	-1.07%
How We Do Our Business	8,455	8,235	(220)	-2.60%
Enablers & Support To Council's Outcomes	39,415	35,139	(4,276)	-10.85%
Public Health Grant Income	(30,723)	(30,723)	0	0.00%
<b>TOTAL COMMISSIONING STRATEGIES</b>	<b>429,094</b>	<b>417,842</b>	<b>(11,252)</b>	<b>-2.62%</b>
<b>OTHER BUDGETS</b>				
Capital Financing Charges	52,589	44,441	(8,148)	-15.49%
Contingency	3,038	0	(3,038)	-100.00%
Other Budgets	13,241	12,502	(739)	-5.58%
<b>TOTAL OTHER BUDGETS</b>	<b>68,868</b>	<b>56,943</b>	<b>(11,925)</b>	<b>-17.32%</b>
<b>SCHOOL BUDGETS</b>				
Schools (DSB)	244,101	242,850	(1,251)	-0.51%
Schools Related Expenditure (DSB)	22,857	20,733	(2,124)	-9.29%
Dedicated Schools Grant	(248,801)	(248,801)	0	0.00%
Schools Budgets (Other Funding)	(1)	(15,428)	(15,427)	0.00%
<b>TOTAL SCHOOL BUDGETS</b>	<b>18,156</b>	<b>(646)</b>	<b>(18,802)</b>	<b>-103.56%</b>
<b>TOTAL EXPENDITURE</b>	<b>516,118</b>	<b>474,139</b>	<b>(41,979)</b>	<b>-8.13%</b>
<b>INCOME</b>				
Revenue Support Grant	(94,670)	(94,670)	0	0.00%
Business Rates	(106,057)	(103,711)	2,346	-2.21%
Council Tax	(237,253)	(237,561)	(308)	0.13%
Other Non Specific Grants	(17,646)	(16,895)	751	-4.26%
<b>TOTAL INCOME</b>	<b>(455,626)</b>	<b>(452,837)</b>	<b>2,789</b>	<b>-0.61%</b>
<b>USE OF BALANCES</b>				
Use of Balances - Earmarked Reserves	(60,192)	(60,192)	0	0.00%
Use of Balances - General Reserves	(300)	(300)	0	0.00%
<b>TOTAL USE OF RESERVES</b>	<b>(60,492)</b>	<b>(60,492)</b>	<b>0</b>	<b>0.00%</b>
<b>TOTAL</b>	<b>0</b>	<b>(39,190)</b>	<b>(39,190)</b>	



**Children's Services** – (£1.060m under budget)

1.22 Over the four commissioning strategies, Children's Services underspent by £1.060m (or 1.1%).

**Readiness for School** - (£0.557m under budget)

1.23 Readiness for School commissioning strategy underspent by £0.557m (6.72%). This is due to a number of commissioned services being de-commissioned earlier than originally planned (£0.274m), children's centre running cost underspends, including staffing costs, following the recent locality restructuring and non-staffing costs (£0.262m).

**Learn and Achieve** – (£0.106m over budget)

1.24 Learn and Achieve commissioning strategy overspent by £0.106m (0.30%). The main areas of variance relate to: the Children with Disability Section 17 which overspent (£0.301m), offset by there being one less transport day for Home to School/College Transport in 2015/16 (£0.123m) and receipt of an additional Music Services grant midyear (£0.221m).

**Readiness for Adult Life** – (£0.818m under budget)

1.25 Readiness for Adult Life commissioning strategy underspent by £0.818m (12.68%). This includes underspends on the following areas: the early adoption of the Careers Service (£0.319m) restructuring and saving on the Customer Services Centre contract being brought back in-house; Positive Activities for Young People (£0.314m) following the early restructuring of localities and the move to encourage communities to run youth centres; Youth Housing Contracts (£0.245m), and additional income generated by the Lincolnshire Secure Unit (£0.158m). There has, however, been a pressure on the Leaving Care Service regarding the accommodation costs for young people with complex and high needs leading to an overspend on this area (£0.262m).

**Children are Safe and Healthy** – (£0.209m over budget)

1.26 Children are Safe and Healthy commissioning strategy overspent by £0.209m (0.39%). There continues to be growing pressures within children's social care as a result of the increase in the number of Looked After Children and Children in Need. The most significant pressures have been on out of county residential placements, and accommodation and support costs for Looked After Children aged 16-18 (£1.251m), and remand costs (£0.221m). These pressures have been mitigated this year by a one-off funding from the Legal Shared Service (£0.309m) plus a permanent savings achieved through the Family Support Contract insourcing and the restructuring arising from it. Such savings contribute towards those identified for 2016/17.

**Adult Care** – (£1.461m under budget)

1.27 The Adult Care outturn is £145.341m, an underspend of £1.460m against a budget of £146.802m. This is as a result of higher than anticipated death rates and a reduction in Domiciliary Care placements due to implementation of the new Prime Provider contract for home based care services. This was partially offset by an increase in short term care residential placement activity and lower than anticipated income receipts. However this is set against an environment of increasing placement costs in all services following the introduction of the National Living Wage (NLW) and additional legislative burdens placed on providers in respect of pension obligations.

1.28 The service produced savings of £3.138m in 2015/16 from a number of successful projects including:

- Staff savings as a result of the recent senior management review;
- Maximising income recovery; and
- Review and renegotiation of provider contracts.

**Adult Safeguarding** – (£0.011m under budget)

1.29 The Safeguarding Adults commissioning strategy aims to protect an adult's right to live in safety, free from abuse and neglect. The service works both with people and organisations to prevent and stop both the risks and experience of abuse and neglect ensuring that adult's wellbeing is being promoted.

1.30 This area also encompasses the Deprivation of Liberty Safeguards (DOLS). This has seen a significant increase in activity as a result of the 'Cheshire West' legal judgement in March 2015.

1.31 The service had a small underspend £0.011m against a budget in 2015/16 of £3.020m.

**Adult Frailty, Long Term Conditions and Physical Disability** – (£0.419m under budget)

1.32 The Adult Frailty and Long Term Conditions commissioning strategy brings together Older People, Physical Disability and Adult Care Infrastructure and aims to ensure that eligible individuals receive appropriate care and support that enables them to feel safe and live independently.

1.33 The final outturn for Adult Frailties was £95.853m, an underspend of £0.419m on a budget of £96.272m.

1.34 Changes have recently been made to homecare contracts with the implementation of a new "Prime" provider contract. A consequence of the change to "Prime" providers was the significant increase in the number of service users choosing to take their care in the form of a Direct Payment as they sought to keep services that were delivered by outgoing providers. The resulting boost saw Direct Payments as a proportion of total long term packages increase.

1.35 The service also saw a change in Reablement provider, reducing costs, and increases in the number of direct payment audits completed along with a subsequent increase in direct payment refunds.

**Carers – (£0.135m under budget)**

1.36 The Carers commissioning strategy aims to prevent or delay ongoing care needs by supporting adult carers so they are able to sustain their caring role, reducing the need for costly services in primary and acute care, and long term social care.

1.37 The service ended 2015/16 with an underspend of £0.135m against a budget of £1.673m.

1.38 Throughout the year there was a lower than anticipated take up of Carer's Personal Budget in 2015/16. It is thought that this is due to changes in the eligibility criteria set out in the Care Act 2014, however it is anticipated that take up will increase in 2016/17.

**Adult Specialities – (£0.896m under budget)**

1.39 This commissioning strategy aims to ensure that eligible Adults with Learning Disability, Autism and/or Mental Health needs receive appropriate care and support that enables them to feel safe and live independently. Services for Learning Disabilities are administered via a Section 75 agreement between the Council and NHS commissioners in Lincolnshire in addition to a small in-house element that sits outside the Section 75. The Mental Health service is run on behalf of the Council by the Lincolnshire Partnership Foundation Trust, also by way of a Section 75 agreement.

1.40 Specialist Adult Services finished 2015/16 with an under-spend of £0.896m against a budget of £45.837m.

1.41 The service has seen growth in Supported Living and Direct Payments costs. This being a combination of high cost discharges from in-patient provision and school/college leavers requiring packages of care. This has been mitigated somewhat by in year residential placements being lower than expected this year and service user income has increased due to direct payment audit income and the successful conclusion a number of long standing legal disputes in respect out of county placements by other Local Authorities within the County. The Mental Health service delivered a balanced budget.

**Community Resilience and Assets – (£0.343m over budget)**

1.42 This commissioning strategy has overspent by £0.343m in 2015/16. The main elements of this include: an overspend on Chance to Share Sports Centre costs due to timing of charges received (£0.325m); and an overspend on the Customer Service Centre due to increased volume of activity and timing of savings requirements from channel shift (£0.549m). This was offset by an underspending

on the Library Service as the Council moves to the new model of service delivery (£0.320m).

**Wellbeing – (£1.140m under budget)**

1.43 This commissioning strategy has underspent by £1.141m in 2015/16. The main element of this relates to the redesign and procurement of the Wellbeing and Housing Related Support activities planned savings being brought forward. There was an overspending on the Coroners services (£0.255m) which has been partly offset by additional income from the Registration and Celebratory Services (£0.123m).

1.44 In addition there is an underspend on activities funded from the Public Health ring-fenced grant (£1.459m). The underspend from the grant is required to be added to an earmarked reserve, to be used in accordance with the grant conditions at a future time. This underspend relates to Health Improvement Contracts which are activity based, the re-procurement of the smoking cessation contract (and related prescribing costs) and staff vacancies being held to assist with the delivery of future budget and grant reductions. There has been an increase in the costs of demand led out of county sexual health services and prescribing costs which has offset an element of this underspend.

**Sustaining and Developing Prosperity Through Infrastructure - (£3.637m under budget)**

1.45 This commissioning strategy has underspent by £3.637m in 2015/16. Much of this variance is attributable to the high level of staff vacancies the Environment and Economy Service which have been maintained to assist with meeting budget reductions in 2016/17 and beyond, and the subsequent impact of service delivery. The difficulty with accessing reliable financial information during the year, had also caused managers to be cautious when committing expenditure as has the knowledge that there were anticipated overspends elsewhere in the service that needed to be met (i.e. waste disposal).

1.46 There has also been underspends on:

- The Heritage Service (£0.809m) relating to additional income generated from the success of the Castle Revealed events over the summer of 2015;
- Spending on transportation has also been cautious during the year, which has led to an underspend of £0.838m; and
- The winter maintenance budget due to the mild winter (£0.751m).

**Protecting and Sustaining the Environment – (£1.145m over budget)**

1.47 The overspend on this commissioning strategy in 2015/16 (£1.145m) relates to waste management and waste disposal and unavoidable increases in prices and volumes relating to these activities.

### **Sustaining and Growing Business and the Economy – (£0.678m under budget)**

1.48 This commissioning strategy has underspent by £0.678m in 2015/16, this is attributable to income being generated additional to the budgeted expectations on the Council's business centres and cautious management of expenditure on lobbying, support to businesses and tourism.

### **Protecting the Public – (£0.268m under budget)**

1.49 This commissioning strategy has underspent by £0.268m in 2015/16. This relates mainly to an underspend in the Fire and Rescue Service due to less than anticipated activity for retained firefighters (£0.160m) and an underspend on Emergency Planning activity costs (£0.048m).

### **How We Do Our Business – (£0.220m under budget)**

1.50 The How We Do Our Business strategy provides the corporate governance, risk and standards framework and the democratic machinery for the whole Council. The budget and policy strategies/frameworks and the Council's constitution provide the context for this and the way that the Council works. It also encompasses the corporate, statutory roles of the Head of Paid Service, Monitoring Officer and the Section 151 Officer as part of the framework.

1.51 The service budgets are:

- Budget and Policy Framework (Finance and Audit);
- Precept – Eastern Inshore Fisheries and Conservation Authority;
- Corporate Standards (including Chief Executive's Office); and
- Decision-making, including the Democratic Process (including Members Allowances).

1.52 Budget and Policy Framework – Finance and Audit underspent by £0.077m. There are a number of over and under spendings which make up the final position. These include: additional costs of £0.283m were incurred by the Finance team due to problems experienced with the implementation of the new financial system, Agresso. These costs were offset by underspends: on the finance element of the Support Service Contract (£0.192m); vacancies and delays in recruitment within the Audit function (£0.085m); a delay in the replacement of Audit Software (£0.040m) and a reduction in the external audit fee (£0.028m).

1.53 There are a number of other small underspendings within How We Do Our Business. These are due to: staff vacancies, the Chairman's Fund and tight management of spending on supplies and services.

## **Enablers and Support to Council Outcomes – (£4.276m under budget)**

1.54 The Enablers and Support to the Council's Outcomes cover the budgets and activities that support the Council both as a corporate organisation and facilitate the work to achieve the Council's main commissioning outcomes. The service budgets are: ICT Strategy and Support, Property Strategy and Support (including County Farms), People Strategy and Support, Legal Services, Commissioning, Business Support and Strategic Communication.

- The Commissioning Service budget underspent by £1.381m. This is largely due to service credits applied for underperformance on the Support Service Contract (£1.205m). Further underspends relates to staffing vacancies within the Commissioning Team (£0.176m).
- Property Strategy and Support underspent by £1.376m. The main variances are due to:
  - The Council vacating buildings early during 2015/16, where a full year of rent was originally budgeted for these properties (£0.494m);
  - Additional rental income being received above the original budget (£0.415m); and
  - An underspend on the Property Services contract of £0.576m (including Estates Management, Energy and Records Management). The contract price is based on a target cost for staffing, however there were a number of vacancies held by the contractor which reduced the cost of the contract during 2015/16.
- Business Support underspent by £0.604m. This is due to additional savings being achieved over and above those originally required in 2015/16. This has been achieved through vacancy management and reallocating work to make better use of existing resources. These additional savings are from the services base budget and will help the service deliver savings targets set for future financial periods.
- The underspend of £0.538m is due to Legal Services achieving a surplus on income within the year.
- People Strategy and Support budgets underspent by £0.230m (5.61%). The main areas of underspend include: Occupational Health (£0.078m) where the budget for additional activity for physiotherapy has not been required this year; the Disclosure and Barring Service (£0.077m) and the Corporate Leadership and Management Development Programme (£0.072m).

## **Schools – (£18.802m under budget)**

1.55 Under government regulations, schools carry forward automatically their under and over spendings to the next financial year. Due to the problems experienced with the new financial system and the impact this has had on the Council's ability to close the financial year 2015/16 the outturn position on school budgets and spend is not complete. This outturn position may change, however,

all schools budget under or over spendings are carried forward to be used by schools in future years.

**Other Budgets** – (£11.925m under budget)

1.56 The Council's capital financing charges were £8.107m under budget. There has been slippage of the capital programme during 2015/16 which reduced the need to borrow. This has had an effect on the interest payable on borrowing and the amount required to be set aside to finance borrowing costs in the future, both being lower than budgeted. Savings were also made due to the Council using a mix of external borrowing and utilising internal balances to finance the capital programme. Slippage in both capital and revenue budget underspends has also led to excess balances being available for investment over 2015/16, and so interest generated from investments was also in excess of budget by £0.032m.

1.57 The Council has a contingency budget, set aside for emerging pressures which may arise during the financial year. At the year-end £3.038m remained, and was not required in 2015/16.

1.58 Other budgets were under budget by £0.739m. This comprises a number of under and over spends, the most significant being:

- Council Tax Support Schemes underspent by £0.200m. At the beginning of 2015/16 the County Council set aside £0.200m in case any of the Lincolnshire District's required additional funding for the administration of their new Council Tax Schemes. There was no call on this funding during 2015/16.
- The Council received an additional £0.432m grant in 2015/16. This was utilised at year end.
- The Council's insurance budget showed a surplus of £0.175m. The insurance fund is designed to enable the Council to 'self-insure' its insurable risks over the long term. The operating surplus this year has arisen mainly due to a reduction in claims payments and very few risk management bids, plus the contract re-tender has delivered significant savings for 2015/16.

**Council's General Funding** – (£2.789m less than the income budget)

1.59 The Council's general funding was £2.789m less than the revenue budget approved at full Council in February 2015. The most significant reasons for this reduction in funding are as follows:

- New Homes Bonus Returned Funding - £0.329m (more than was budgeted for). At the time of budget setting, the Council had not been notified that we would be receiving this funding in 2015/16. This is funding that was originally allocated from the Local Government Finance Settlement to fund

New Homes Bonus with a commitment from the Government that any unused funding would be returned to local authorities.

- Council Tax - £0.307m (more than was budgeted for). For 2015/16 City of Lincoln Council only included the distribution of the estimated surplus for 2014/15 and did not include the distribution of an accumulated surplus from previous years resulting in additional £0.307m due to us.
- Section 31 Grant for Business Rates - £0.112m (less than was budgeted for). The income we have received is £0.013m more than originally anticipated. However the Council had to refund £0.124m back to DCLG for the Retention Grant reconciliation for 2014/15.
- Business Rates - £0.647m (less than was budgeted for). City of Lincoln Council originally declared a surplus of £0.464m on the business rate element of the collection fund which was later revised to a deficit of £0.183m.
- Education Services Grant - £1.094m (less than was budgeted for). Due to a reduction in the funding rate allocated per pupil, we have received less income than originally anticipated. The grant is adjusted throughout the financial year as schools convert to Academies.
- Business Rates Pooling - £1.585m (less than was budgeted for). Income relating to business rates pooling in 2014/15 was £0.573m less than originally anticipated. The figure received for 2015/16 is £1.012m less than budgeted. Also for 2016/17 we are expecting to receive £0.104m which is a significant reduction from previous years.

## Capital Programme Outturn

1.60 The capital outturn for 2015/16 is summarised below:

- Net capital expenditure was £42.701m; and
- There was an under spending of £52.042m or 54.9%.

1.61 The capital budget outturn is shown in **TABLE C** over page. The reasons for significant capital budget over or underspendings are explained in the following paragraphs.



**Table C – Net Capital Outturn 2015/16**

Capital Programme	Revised budget £	Net Expenditure		
		Actuals £	Variance £	Variance %
Other Readiness for School	585	440	(145)	-24.8%
<b>Readiness for School</b>	<b>585</b>	<b>440</b>	<b>(145)</b>	<b>-24.8%</b>
Devolved Capital	1,264	1,264	0	0.0%
Provision of School Places (Basic Need)	8,496	8,496	0	0.0%
School Condition / Maintenance Capital	1,217	1,217	0	0.0%
Other Academies	1,376	94	(1,282)	-93.2%
Other Learn & Achieve	1,527	174	(1,353)	-88.6%
<b>Learn &amp; Achieve</b>	<b>13,880</b>	<b>11,245</b>	<b>(2,635)</b>	<b>-19.0%</b>
Other Readiness for Adult Life	(4)	30	34	-850.0%
<b>Readiness for Adult Life</b>	<b>(4)</b>	<b>30</b>	<b>34</b>	<b>-850.0%</b>
Universal Infant Free School Meals Capital	2,157	2,157	0	0.0%
Other Children are Safe & Healthy	605	217	(388)	-64.1%
<b>Children are Safe &amp; Healthy</b>	<b>2,762</b>	<b>2,374</b>	<b>(388)</b>	<b>-14.0%</b>
<b>Childrens Services - Commissioning</b>	<b>17,223</b>	<b>14,089</b>	<b>(3,134)</b>	<b>-18.2%</b>
Adult Care	471	263	(208)	-44.2%
Better Care Fund - Disabled Facility Grants	0	0	0	0.0%
<b>Adult Frailty &amp; Long Term Conditions</b>	<b>471</b>	<b>263</b>	<b>(208)</b>	<b>-44.2%</b>
<b>Adult Care - Commissioning</b>	<b>471</b>	<b>263</b>	<b>(208)</b>	<b>-44.2%</b>
Libraries	1,095	261	(834)	-76.2%
Other Community Wellbeing & Public Health	0	0	0	100.0%
<b>Community Wellbeing &amp; Public Health - Commissioning</b>	<b>1,095</b>	<b>261</b>	<b>(834)</b>	<b>-76.2%</b>
Highways Asset Protection	2,117	1,660	(457)	-21.6%
Integrated Transport	3,923	(395)	(4,318)	-110.1%
Lincoln Eastern Bypass	2,000	320	(1,680)	-84.0%
Lincoln East-West Link	10,375	2,182	(8,193)	-79.0%
Grantham Southern Relief Road	137	2	(135)	-98.5%
Street Lighting Transformation	400	445	45	11.3%
A16/A1073 Spalding to Eye Road Improvement	191	350	159	83.2%
Grantham Growth Point	2,264	0	(2,264)	-100.0%
Lincolnshire Waterways	993	297	(696)	-70.1%
Skegness Countryside Business Park	1,073	371	(702)	-65.4%
Historic Lincoln	(1,143)	464	1,607	-140.6%
Other Sustaining & Developing Prosperity	143	582	439	307.0%
<b>Sustaining &amp; Developing Prosperity Through Infrastructure</b>	<b>22,473</b>	<b>6,278</b>	<b>(16,195)</b>	<b>-72.1%</b>
Flood Defence	6,000	3,800	(2,200)	-36.7%
Energy from Waste	113	1	(112)	-99.1%
Other Protecting & Sustaining the Environment	4,490	1,829	(2,661)	-59.3%
<b>Protecting &amp; Sustaining the Environment</b>	<b>10,603</b>	<b>5,630</b>	<b>(4,973)</b>	<b>-46.9%</b>
Other Sustaining and Growing Business & the Economy	245	21	(224)	-91.4%
<b>Sustaining &amp; Growing Business &amp; the Economy</b>	<b>245</b>	<b>21</b>	<b>(224)</b>	<b>-91.4%</b>
<b>Environment &amp; Economy - Commissioning</b>	<b>33,321</b>	<b>11,929</b>	<b>(21,392)</b>	<b>-64.2%</b>
Protecting The Public Thrh Trading Stds	23	23	0	0.0%
Youth Offending	3	0	(3)	-100.0%
Fire & Rescue and Emergency Planning	3,043	1,798	(1,245)	-40.9%
Fire Fleet Vehicles and Associated Equipment	1,183	955	(228)	-19.3%
<b>Protecting The Public</b>	<b>4,252</b>	<b>2,776</b>	<b>(1,476)</b>	<b>-34.7%</b>
Broadband	13,409	3,714	(9,695)	-72.3%
Infrastructure and Refresh Programme	2,601	349	(2,252)	-86.6%
Replacement ERP Finance System	2,964	737	(2,227)	-75.1%
Care Management System (CMPP)	1,866	1,705	(161)	-8.6%
IMP Development	38	19	(19)	-50.0%
ICT Development Fund	2,690	0	(2,690)	-100.0%
Property	7,172	5,156	(2,016)	-28.1%
Property Rationalisation Programme	5,991	1,703	(4,288)	-71.6%
<b>Enablers &amp; Support To Council's Outcomes</b>	<b>36,731</b>	<b>13,383</b>	<b>(23,348)</b>	<b>-63.6%</b>
<b>Finance &amp; Public Protection - Commissioning</b>	<b>40,983</b>	<b>16,159</b>	<b>(24,824)</b>	<b>-60.6%</b>
New Developments Capital Contingency Fund	1,650	0	(1,650)	-100.0%
<b>Other Capital Programmes</b>	<b>1,650</b>	<b>0</b>	<b>(1,650)</b>	<b>-100.0%</b>
<b>Other Programmes</b>	<b>1,650</b>	<b>0</b>	<b>(1,650)</b>	<b>-100.0%</b>
<b>Total Capital Programme 2015/2016</b>	<b>94,743</b>	<b>42,701</b>	<b>(52,042)</b>	<b>-54.9%</b>

1.62 The capital programme comprises a series of schemes/projects which often span a number of years. Hence over/underspends cannot be related to time periods such as this financial year. Where a scheme/project is known to be exhibiting a material variance to its spending profile this will be described in the narrative associated with that Commissioning area.

**Children's Services – (£3.134m under budget)**

1.63 The net underspend of Children's capital is due to the slippage of some costs, such as the final payments on the major rebuilding programme at Grantham Ruskin Academy, and the prudential use of government grants for major programmes prior to spending LCC net capital. All of the capital underspend is earmarked for significant major investment in the education estate over the next three years.

**Adult Care – (£0.208m under budget)**

1.64 Adult Care spent a total of £0.263m against a net budget of £0.471m. Expenditure included ongoing pre-development costs for the Council's Extra Care Housing Scheme and additional investment in Lincolnshire Telecare services. Telecare has seen continuing growth in the number of people using telecare which is seen as a low-cost preventative service.

**Community Wellbeing and Public Health – (£0.834m under budget)**

1.65 This budget was specifically made available for bids from Community Groups to support the move to the Community Hub service delivery model. Although this budget has underspent by £0.834m in 2015/16, community groups have four years to make requests from this budget.

**Environment and Economy**

Sustaining and Developing Prosperity Through Infrastructure – (£16.195m under budget)

1.66 The capital programme for this commissioning strategy has an underspend of £16.544m in 2015/16. This is mainly due to slippage of major schemes (e.g. Grantham Southern Relief Road and Skegness Countryside Business Park), and the use of external funding to ensure the maximisation of monies available to the authority.

Protecting and Sustaining the Environment – (£4.973m under budget)

1.67 The capital programme for this commissioning strategy has an underspend of £4.973m in 2015/16. This is mainly due to some slippage on the Louth and Horncastle Flood schemes which are now underway and the timing of government grants received.

## **Finance and Public Protection**

### Protecting the Public – (£1.476m under budget)

1.68 The capital programme relating to this commissioning strategy relates to the Fire and Rescue service and has underspent by £1.476m in 2015/17. In the non-fleet programme this relates to delays in the delivery until 2016/17 of the regional control and the new station build at Sleaford and some slippage of station maintenance spend.

### Enablers and Support to Council's Outcomes – (£23.348m under budget)

1.69 The Broadband capital programme underspent by £9.695m. This is due to project efficiencies through more cost effective technology, achieved during the first phase of the project. These underspendings will be reallocated to the second phase of the programme which is now underway.

1.70 Underspendings on other elements of the IMT capital programme include:

- £2.252m on the Infrastructure and Refresh Programme. Infrastructure and End-User Refresh programmes were put on hold due to contractor capacity to deliver enabling projects in 2015/16. It is anticipated that the 2015/16 underspend will be used on refresh during 2016/17;
- £2.690m on the ICT Development Fund. Due to delays in contractor delivery new 'invest to save' initiatives were stalled during 2015/16. Potential spend is now being allocated to schemes to be undertaken in 2016/17; and
- £2.227m on the Replacement ERP Finance System (Agresso). Work to complete the ERP replacement is still required due to issues with the implementation. It is anticipated that these costs will be incurred in future financial years.

1.71 The Property capital programme underspent by £2.016m in 2015/16. This was due to:

- Slippage in the repairs and maintenance programme (£1.484m) due to planning consents, contractor availability and fitting work in around school holidays;
- Slippage of £0.297m on county farms capital schemes, again due to planning consents; and
- £0.235m on asbestos works, due to the reduced number of buildings and schools becoming academies.

1.72 The Property Rationalisation Programme underspent by £4.288m, this is due to slippage in the delivery of the new fire station and office accommodation building in Sleaford.

## **Other Programmes**

### New Developments Capital Contingency Fund – (£1.650m under budget)

1.73 For 2015/16 the Council set aside £15.000m in a New Developments Capital Contingency Fund. During the financial year £13.350m was allocated from this reserve. This has been utilised to fund the following schemes:

- Foster Care Capital Schemes (£0.500m);
- Bourne Waste Recycling Centre (£1.450m);
- Flood and Water Risk Management Schemes (£0.650m);
- Canwick Road Highways Scheme (£1.500m);
- Transforming Street Lighting in Lincolnshire (£6.400m);
- Boston Household Recycling Centre (£1.500m);
- Heritage Lottery – Castle Funding (£1.000m); and
- Fire Mobilising System (£0.350m).

## **Carry forward of over and under spendings**

1.74 The Council's policy as set out in its Financial Strategy is that:

- All under and overspendings on service revenue budgets of up to 1% will be carried forward without exception.
- The use of all underspendings on service budgets in excess of 1% will be considered by the Executive and decided by the full Council.
- The means of funding all overspendings on service budgets in excess of 1% will be considered by the Executive and decided by the full Council.
- All under and overspendings on capital budgets, the dedicated schools budget and shared services will be carried forward.
- All under and overspendings on revenue budgets where the spend is of an uneven nature will be transferred to reserves.

### Transfers to and from reserves

1.75 The Council has a number of reserves earmarked for specific purposes. Transfers are made to or from these earmarked reserves at each year end dependent on actual expenditure and income during the year. It is proposed that the transfers are made in the normal way.

1.76 The carry forward of over and underspendings relating to Executive Directors revenue spending is shown in the table below. This includes:

- Up to 1% carry forward on service budgets. Note Information and Commissioning do not require their 1.0% underspend to be carried forward

into 2016/17. This is subject to recommendation 1. Subject to the decision of the Council, excluding Information and Commissioning, the carry forward of other underspends up to 1.0% is £4.295m.

<b>Executive Director</b>	<b>Final Proposal £'000</b>
Executive Director - Children's Services	1,011
Executive Director - Adult Care	1,460
Executive Director - Community Wellbeing and Public Health	282
Executive Director - Environment and Economy	764
Executive Director - Finance and Public Protection	778
Chief Information and Commissioning Officer	-
<b>TOTAL</b>	<b>4,295</b>

- The full carry forward of underspends relating to schools (£18.801m) and shared services (£0.538m). Note the final schools outturn has not yet been confirmed due to ongoing issues with the payroll information from Agresso. The reported underspend here may change in light of this work.
- Transfers to earmarked reserves linked to revenue budgets where spend is of an uneven nature (£0.166m). Made up of:
  - A transfer to or from the Schools Sickness Fund, the value of which will be confirmed with other school balances;
  - A transfer of £0.175m for the underspend on the Insurance Fund; and
  - A transfer of £0.009m from the reserve for an utilisation of the Museum Exhibits Reserve.

1.77 In addition to this other proposed allocations of amounts resulting from overspends and underspends above the 1.0%, supplemented by the Information and Commissioning underspend referred to in paragraph 1.76 above, are:

- A year end transfer of £13.175m into the financial volatility reserves. £20.165m is required for the planned contribution to Council's revenue budgets in 2016/17. The balance of £24.644m will be available to fund future uncertainties in local government funding in 2017/18 and beyond;
- Additions to existing reserves for:
  - Civil Parking Enforcement (£0.498m) and Fixed Penalty Notices (£0.052m);
  - Flood and Water Risk Management (£0.127m);
  - Local Welfare Provision (£0.015m); and
  - Health and Wellbeing (£0.014m).

- Creation of new reserves for:
  - Waste Management (£1.000m) to meet the cost of anticipated waste volumes and disposal costs in 2016/17;
  - Heritage Service (£0.880m) to contribute towards the cost of service developments to minimise future dependence of Council funding; and
  - Street Lighting (£0.100m) to fund the cost of re-programming street lamps.

1.78 A variety of other transfers to or from other earmarked reserves reflecting actual expenditure and income in 2015/16 are shown in **TABLE D** over the page.

**TABLE D – Transfers to and from reserves**

EARMARKED RESERVES	Balance at	Used in Year	Additional in	Balance at
	31 March			31 March
	2015	£'000	Year	2016
	£'000	£'000	£'000	£'000
Schools Carryforward	-32,141	18,858	-18,937	-32,220
Prime Account Loan Reserve Adjustment	573	0	0	573
<b>Balances held by Schools under a scheme of delegation</b>	<b>-31,568</b>	<b>18,858</b>	<b>-18,937</b>	<b>-31,647</b>
Other Services	-2,167	2,167	-4,295	-4,295
Adverse Weather	-1,000	0	0	-1,000
Insurances	-6,220	0	-175	-6,395
Schools Sickness Insurance Scheme	-788	0	0	-788
Museum Exhibits	-144	9	0	-135
Development - Economic Development Reserve	-443	20	0	-423
Health and Wellbeing	-2,177	1,036	-14	-1,155
Development - Lincs Coastal Country Park	-373	5	0	-368
Legal	-1,967	1,098	-538	-1,407
Procurement	-825	37	0	-788
Salix Carbon Management	-166	38	-120	-248
Safer Communities Development Fund	-833	0	0	-833
Community Safety Development Fund	-473	473	0	0
Co-Responders Services	-150	0	0	-150
Financial Volatility Reserve - Budget Shortfall	-21,871	21,871	-20,165	-20,165
Financial Volatility Reserve	-31,634	20,165	-13,175	-24,644
Teal Park	-50	0	0	-50
Youth Service Positive Activities Development Fund	-107	87	0	-20
Corby Glen/South Lincolnshire Sports Fund	-171	0	0	-171
Youth Offending Service	-363	0	-150	-513
Domestic Homicide Reviews	-100	0	0	-100
Civil Parking Enforcement and Fixed Penalty Notices	-313	0	-551	-864
Support Service Contract Reserve (FDSS)	-2,632	922	0	-1,710
Roads Maintenance Reserve	-2,203	2,115	0	-88
New Salt Dome Willingham	-200	200	0	0
Planning Appeals Reserve	-80	80	0	0
Adoption Reform Reserve	-600	408	0	-192
Community Advisors Reserve	-156	0	0	-156
Local Welfare Provision Reserve	-416	0	-125	-541
Property Management	-250	0	0	-250
Energy from Waste Lifecycles	-1,286	0	-1,286	-2,572
Broadband Project	-135	0	0	-135
Broadband Clawback	-157	0	0	-157
Flood and Water Risk Management	-431	0	-127	-558
Young People in Lincolnshire	-334	0	0	-334
Members Big Society	-8	0	0	-8
Lincoln Eastern Bypass (LEB)	-500	0	0	-500
Unsuitable Transport Routes	-100	0	0	-100
Families Working Together	-611	12	0	-599
Enterprise Schemes	-191	0	0	-191
Asbestos Pressure	-50	0	0	-50
DAAT Pooled Budget	-265	18	0	-247
Waste Management	0	0	-1,000	-1,000
Heritage Services	0	0	-880	-880
Street Lighting	0	0	-100	-100
<b>Earmarked Reserves</b>	<b>-82,940</b>	<b>50,761</b>	<b>-42,701</b>	<b>-74,880</b>
<b>Revenue Grants and Contributions</b>	<b>-55,317</b>	<b>34,427</b>	<b>-21,132</b>	<b>-42,022</b>
<b>TOTAL RESERVES</b>	<b>-169,825</b>	<b>104,046</b>	<b>-82,770</b>	<b>-148,549</b>

## General Reserve

1.79 The Council's policy on general reserves is that they will be maintained within a range of 2.5% to 3.5% of its annual budget requirement. When setting its budget for 2015/16 the Council had planned to reduce the balance in the General Fund by £0.300m. After considering the impact of actual expenditure and income in 2015/16 and the proposals on the carry forward of over and under spendings it is not proposed to make any further additions or reductions to the general fund at the year end. The general reserves at 31 March 2016 are £15.600m or 3.5% of annual budget requirement (**TABLE E**).

**TABLE E – General Reserves**

<b>GENERAL RESERVES</b>	<b>Balance at 31 March 2016 £'000</b>
Balance at 1 April 2015	-15,900
Planned contribution to / use in year	300
Proposed contribution to / use of reserves	0
<b>Balance as at 31 March 2016</b>	<b>-15,600</b>
Balance as a percentage of total budget	3.50%

## Prudential indicators

1.80 The Local Government Act 2003 gave authorities freedoms to borrow what they need to fund their capital programmes. The Act requires Local Authorities to comply with CIPFA's Prudential Code for Capital Finance in Local Authorities. The Code provides a framework to ensure that Local Authorities' capital programmes are affordable, prudent and sustainable and that treasury management decisions are taken to support this.

1.81 In complying with the Code the indicators for 2015/16 were approved by County Council on 20 February 2015 along with the budget and council tax for that year. In accordance with the Code, the Executive Director has been monitoring the actual performance against the targets set and would have reported any issues of concern to members had there been a need to. The County Council should also be informed of the actual position compared with that estimated for any given year after the year end. **APPENDIX A** provides details of this comparison for 2014/15. It shows that Prudential Indicators have not been exceeded during the year and there have been no breach of limits set by the Authority.



## **Equality Act 2010**

1.82 The Executive must be mindful of its obligations under the Equality Act 2010 and the special duties the Council owes to persons who have a protected characteristic as the duty cannot be delegated and must be discharged by the decision maker. The duty is for the Council, in the exercise of its functions, to have due (that is proportionate) regard to the need to:

1. Eliminate discrimination, harassment, victimisation and any other conduct that is prohibited by or under the Equality Act 2010;
2. Advance equality of opportunity between persons who share a relevant protected characteristic and persons who do not share it;
3. Foster good relations between persons who share a relevant protected characteristic and persons who do not share it: Equality Act 2010 s 149(1).

The relevant protected characteristics are age; disability; gender reassignment; pregnancy and maternity; race; religion or belief; sex; sexual orientation: s 149(7).

1.83 Having due regard to the need to advance equality of opportunity involves having due regard, in particular, to the need to:

- a. Remove or minimise disadvantages suffered by persons who share a relevant protected characteristic that are connected to that characteristic;
- b. Take steps to meet the needs of persons who share a relevant protected characteristic that are different from the needs of persons who do not share it; and
- c. Encourage persons who share a relevant protected characteristic to participate in public life or in any other activity in which participation by such persons is disproportionately low.

1.84 The steps involved in meeting the needs of disabled persons that are different from the needs of persons who are not disabled include, in particular, steps to take account of disabled persons' disabilities.

1.85 Having due regard to the need to foster good relations between persons who share a relevant protected characteristic and persons who do not share it involves having due regard, in particular, to the need to tackle prejudice, and promote understanding.

1.86 Compliance with the duties in this section may involve treating some persons more favourably than others.

1.87 A reference to conduct that is prohibited by or under this Act includes a reference to:

- i. A breach of an equality clause or rule
- ii. A breach of a non-discrimination rule.

1.88 The Executive must also have regard to the Child Poverty Strategy, the Joint Strategic Needs Assessment and the Joint Health and Wellbeing Strategy in reaching a decision.

1.89 These matters have been considered and there is not considered to be any direct impact of the decisions called for by this Report on the Equality Act duty or any of these strategies. The Council set its budget for 2015/16 in February 2015 having had regard to these matters. The treatment of underspends and overspends in this Report do not impact on that budget or any individual decisions in relation to services. Those decisions will continue to have regard to equality act obligations and the various strategies as they are taken. This includes decisions on the use of carried forward underspends.

## **2. Conclusion**

2.1 The report provides details of the council's financial performance for 2015/16. Net revenue spending amounted to £474.785m, excluding Schools Budgets. Net capital spending totalled £42.701m.

2.2 Existing policies allow all over and underspendings on capital, schools budgets and shared services to be automatically carried forward. The carry forwards and transfers to and from earmarked reserves have been proposed in accordance with existing policy. General reserves stand at £15.600m with a further £106.527m in earmarked reserves.

## **3. Legal Comments:**

With regard to recommendation 1, the Council's Financial Regulations state that underspends up to 1% will be carried forward without exception. Full Council approval is therefore required to allow an exception to take place in relation to Information and Commissioning.

With regard to recommendation 2, Council's Financial Regulations provide that the use of all underspending on service budgets in excess of 1% will be considered by the Executive and decided by the full Council. The means of funding all overspendings on service budgets in excess of 1% will be considered by the Executive and decided by the full Council.

With regard to recommendation 4, under Section 3 of the Local Government Act 2003 the Authority must determine and keep under review how much money it can afford to borrow. Reporting on the Prudential Indicators assists the Council in discharging this function.

The recommendations are lawful in accordance with the Constitution and the Policy Framework and within the remit of the Executive.

#### **4. Resource Comments:**

The Council has a sound financial base from which to manage the challenges of a difficult medium to longer term outlook for public sector finances.

#### **5. Consultation**

##### **a) Has Local Member Been Consulted?**

n/a

##### **b) Has Executive Councillor Been Consulted?**

Yes

##### **c) Scrutiny Comments**

The Overview and Scrutiny Management Committee will consider this report at its meeting on 28 July 2016. Comments from the Committee will be passed onto the Executive.

##### **d) Policy Proofing Actions Required**

n/a

#### **6. Appendices**

These are listed below and attached at the back of the report

Appendix A	Prudential Indicators 2015/16
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#### **7. Background Papers**

The following background papers as defined in the Local Government Act 1972 were relied upon in the writing of this report.

Document title	Where the document can be viewed
Financial Strategy	Executive Director of Finance and Public Protection

This report was written by David Forbes, who can be contacted on 01522 553642 or [david.forbes@lincolnshire.gov.uk](mailto:david.forbes@lincolnshire.gov.uk).

## Appendix A

PRUDENTIAL INDICATORS ACTUAL COMPARED TO ESTIMATED 2015/2016			
Original Estimate	2015/16 £000	Actuals	2015/16 £000
Capital Expenditure Net	80,362	Actual Capital Expenditure (Excl Sch RCCO & Leasing)	28,768
Capital Financing Requirement 31/3/2016	630,292	Actual Capital Financing Requirement 31/3/2016	559,558
Capital Financing Requirement Estimate at 31/3/2018	665,617	Capital Financing Requirement Estimate 31/3/2018	594,942
Gross External Borrowing	513,440	Actual Gross External Borrowing	481,747
Borrowing in Advance of Need Limit	8,831	Actual Borrowing in Advance of Need Taken	0
Incremental Impact of Borrowing Plans on Council Tax -Band D	£8.36	Actual Incremental Impact of Borrowing Plans on Council Tax -Band D	-£5.10
MRP & Interest Repayments not to exceed 10% of Net Revenue Stream Estimate	6.24%	MRP & Interest Repayments not to exceed 10% of Net Revenue Stream Actual	5.75%
Ratio of Financing Costs To Net Revenue Stream	6.14%	Actual Ratio of Financing Costs To Net Revenue Stream	5.68%
<b>External Debt:</b>			
<u>Authorised limit for external debt -</u>		<b>Actual external debt at 31/3/16</b>	
borrowing	592,052	Borrowing	481,747
other long term liabilities	15,083	Other long term liabilities(Credit Arrangements)	12,193
TOTAL	607,135	<b>TOTAL</b>	493,940
<u>Operational boundary -</u>			
borrowing	568,052		
other long term liabilities	13,083		
TOTAL	581,135		
<b>Treasury Management:</b>			
<u>Upper limit for fixed interest rate exposure</u>		<b>Actual exposure fixed interest</b>	
Net principal re fixed rate borrowing less investments	665,617	Net Principal	311,933
<u>Upper limit for variable rate exposure</u>		<b>Actual exposure variable interest</b>	
Net principal re variable rate borrowing less investments	199,685	Net Principal	-46,204
<u>Upper limit for total principal sums invested for over 364 days</u> (per maturity date)	40,000	<b>Actual sums invested &gt; 364 Day</b>	214
<u>Maturity structure of fixed rate borrowing during 2015/16</u>	upper limit	<b>Actual maturity structure as at 31 March 2016</b>	
under 12 months	25%	under 12 months	3.20%
12 months and within 24 months	25%	12 months and within 24 months	3.20%
24 months and within 5 years	50%	24 months and within 5 years	15.50%
5 years and within 10 years	75%	5 years and within 10 years	9.30%
10 years and above	100%	10 years and above	68.70%

**Open Report on behalf of Richard Wills, Executive Director of Environment and Economy**

Report to:	<b>Overview and Management Scrutiny Committee</b>
Date:	<b>28 July 2016</b>
Subject:	<b>East Lindsey Local Plan</b>

**Summary:**

East Lindsey District Council is consulting on its draft Local Plan. This report sets out the key areas where Lincolnshire County Council wishes to engage in further discussion on the content of the Local Plan.

It is accompanied by a separate briefing note which outlines in particular the Council's view on the alignment between the principles in the Coastal Study of 2010 (which forms an important element of the evidence base in the draft Local Plan) and the objectives in the Strategic Economic Plan of 2015, which have been adopted by all upper tier and district authorities within Greater Lincolnshire.

**Actions Required:**

Members are invited to endorse the proposals made within the report, which will inform the position the County Council takes with regard to its engagement with its partners during public consultation on the draft East Lindsey Local Plan.

## **1. Background**

### **1.1 Introduction**

Lincolnshire County Council (LCC) is committed to supporting the economic, social and environmental wellbeing of communities throughout Greater Lincolnshire. A key part of this is achieved through working closely with Local Planning Authorities to ensure that planning policy achieves the best results for local communities, while contributing to the strategic objectives of major adopted pan-Lincolnshire plans such as the Strategic Economic Plan. Local Plans are an important tool to ensure the delivery of the Greater Lincolnshire growth ambition, including 25,000 new homes in the next 5 years.

In this spirit, the Council has sought to engage with East Lindsey District Council in the development of its Local Plan, but believes there are a number of outstanding areas where further debate is desirable if the draft Local Plan is to take account of

national policy developments since 2010, and if it is to be sufficiently robust for submission for the Examination in Public process.

The draft East Lindsey Local Plan is available on the East Lindsey District Council website via the following link: <http://www.e-lindsey.gov.uk/localplan>.

## **1.2 Engagement in developing the draft East Lindsey Local Plan**

In general terms, Lincolnshire County Council would have preferred a closer and more continuous engagement with the Local Planning Authority, comparable, for example, with the partnership approach achieved through the Central Lincolnshire or South East Lincolnshire Joint Planning Unit.

While there has been formal consultation on aspects of the draft Local Plan, such as the draft Core Strategy in 2013 and the housing topic paper in 2014, other elements, such as the coastal topic paper, were not formally made available to partners for comment, and some still do not appear to be available online.

Ordinarily, the County Council would seek to work closely with the Local Planning Authority on a range of service areas, particularly (but not exclusively) education, children's and adult services, infrastructure planning, highways and transport policy, property and land ownership opportunities, and flood risk management.

This could have been achieved by the establishment of working groups and the circulation of topic papers, especially on accumulating housing evidence through Strategic Housing Land Availability Assessments (SHLAA), Strategic Housing Market Area (SHMA) work, Whole Plan Viability and objectively assessed housing need. LCC has worked closely with South East Lincolnshire and Central Lincolnshire in providing housing and demographic expertise to assist in developing these aspects of those Local Plans. The Council would have expected to support the East Lindsey draft Local Plan in a similar manner.

Overall, since 2014 there has been little formal engagement on these matters. Indeed, forward notice of the imminence of public consultation on the draft Local Plan exists within the updated Local Development Scheme and recent Full Council papers, but is not indicated otherwise in the main section dedicated to the Local Plan.

## **1.3 Key Issues**

The County Council is keen to ensure that the draft Local Plan is supported by robust infrastructure planning. At present, the draft Local Plan does not appear to include a substantive Infrastructure Delivery Plan, and there is little consideration of traffic and transport assessment. In particular, detailed assessments that have been undertaken at key points in the transport network, as at Horncastle, have not been taken into account in arriving at draft conclusions regarding potential levels of growth and development.

The Council also notes that projected educational need in Horncastle requires further consideration. While there is capacity to extend secondary provision on the

existing sites there is no capacity within the primary provision and Horncastle is at the point where a new primary school would be required, which must be of a viable size (one form of entry or above).

Louth is in the same position with limited options for expansion of the existing primary schools. The allocation of 1162 dwellings in Louth provides for a sustainable pupil population but because the development is across many sites with low numbers of dwellings rather than one or two sites, securing an appropriate site will be challenging, along with agreeing five good s106 contributions to a new primary school provision. Present school premises will not be sufficient to accommodate the increase identified in the Local Plan, and new school provision needs to be carefully considered within the Local Plan.

Provision of such infrastructure, including addressing transport network capacity, could be restricted by the draft Local Plan's limitation of development in Horncastle to existing permissions, the principal concentration of new housing allocations being in Louth (1162 dwellings). While existing permissions may be sufficient for current rates of growth in Horncastle, the Council wishes to consider further if this assumption fully takes future requirements into account, and whether this level of growth is likely to help to support the necessary transport infrastructure for the town.

The scale and scope of the issues outlined above are concentrated in the coastal parts of East Lindsey. The County Council's view is set out in more detail in Appendix A (attached), but, in brief, the Council considers that the current draft Local Plan has not considered the principles of the coastal study fully in light of subsequent national policy developments, and places too great an emphasis on the provision of affordable housing to meet local need.

## **2. Conclusion**

The County Council wishes to support the ambitions for economic growth set out in the Strategic Economic Plan for Greater Lincolnshire, and considers local planning policy to be a critical enabling factor in achieving these ambitions.

The Council is therefore keen to work closely with its partners in supporting the development of Local Plans that are robust and effective in facilitating growth in all parts of the area.

The Council, therefore, wishes to be clear and open with its partners in setting out its position with regard to the current draft Local Plan for East Lindsey, and to engage in detailed discussions with a view to resolving any outstanding issues prior to submission of the draft Local Plan to the planning inspectorate.

## **3. Consultation**

### **a) Policy Proofing Actions Required**

n/a

#### 4. Appendices

These are listed below and attached at the back of the report	
Appendix A	Briefing Note: Alignment of Coastal Study Principles with Greater Lincolnshire Strategic Economic Plan.

#### 5. Background Papers

No background papers within Section 100D of the Local Government Act 1972 were used in the preparation of this report.

This report was written by David Hickman, who can be contacted on 01522 554809 or [David.Hickman@lincolnshire.gov.uk](mailto:David.Hickman@lincolnshire.gov.uk).



**Briefing Note: Alignment of Coastal Study Principles with Greater Lincolnshire Strategic Economic Plan**

DATE: 26/05/2016

<b>SUBJECT:                    Alignment of Coastal Study Principles with Greater Lincolnshire Strategic Economic Plan</b>
--

**1. Introduction**

The Lincolnshire Coastal Study was published in 2010. It is a study of the socio-economic and geographical context for spatial planning within the coastal zone of Lincolnshire. Its original purpose was to provide the basis for a coastal strategy within the East Midlands Regional Spatial Plan.

The Coastal Study concludes with a number of principles, intended to form a framework for regional planning policy as applied in the coastal zone. With the abolition of regional planning from 2010, the intended strategy was not progressed. Instead, Local Planning Authorities have used the Coastal Study as an evidence base to inform the ongoing development of their Local Plans. This has involved variations in the way that the Study's principles have been applied from area to area.

Since 2010 there has been significant change in funding for flood risk management, with a long term requirement for local contributions as well as a general policy change across government departments prioritising the importance of economic growth. On the Lincolnshire coast existing agri-food and visitor economies depend heavily on effective water management, while the capacity to sustain water management can only be secured by encouraging inward investment and growth in those sectors.

This new focus is crystallised in the Greater Lincolnshire Strategic Economic Plan (SEP), developed by the Greater Lincolnshire Local Enterprise Partnership, which was published in 2014 and agreed by all partner organisations within Greater Lincolnshire.

Lincolnshire County Council strongly supports the growth objectives in the SEP, and wishes to support its partner Local Planning Authorities in developing spatial planning policy to facilitate the SEP. As a result, the Council wishes to establish a clear position regarding the relationship between the Coastal Study principles and the objectives of the SEP to inform its support to Local Planning Authorities in the coastal area and to provide clarity for its partners when consulting on draft Local Plan documentation.

## 2. Coastal Study Principles

**Principle 1: the primary principle is to increase the safety of people by reducing the number of people at risk of flood hazard in the Study Area.**

Development will be guided by the level of flood hazard (red being the highest)

With respect to the red, orange and yellow zones major development will be employment or business related only;

- Exceptionally, development to meet local housing needs may continue subject to the mitigation of flood risk through flood resilient design and emergency planning
- It will not be appropriate for housing development in the red, orange and yellow zones to contribute to meeting the Region's strategic housing requirements. Rather, any new housing development should be of a level and type designed to keep the population in these zones broadly stable

With respect to the green zone:

- Exceptionally, major development may be possible so long as flood risk is mitigated through flood resilient design and emergency planning.

With respect to all flood hazard zones:

- New and replacement community buildings may be permitted subject to flood risk being mitigated through flood resilient design and emergency planning
- New caravan sites or extensions to existing sites may be allowed for short-let tourist use between the months of April and September subject to the mitigation of flood risk through flood resilient design and emergency planning
- Development of buildings and infrastructure explicitly for use in emergencies may be permitted subject to flood risk being mitigated through flood resilient design

**Principle 2: The consequence of flooding for people in all flood hazard zones will, over time, be reduced by:**

- The installation of flood resilience measures in domestic and public buildings, caravan sites and for essential infrastructure
- Improving emergency planning and emergency response and evacuation arrangements
- Improving public awareness and understanding of flood risk and responses

**Principle 3: Development decisions will aim to improve social, economic and environmental conditions in existing and new communities by:**

- Minimising the loss of high quality agricultural land
- Diversifying the tourism industry
- Improving green infrastructure
- Protecting and enhancing water infrastructure
- Protecting natural, cultural and historic assets
- Improving transport infrastructure and services
- Improving the quality of existing housing stock and access to jobs, training and services for local people
- There will be a particular focus on more deprived areas

### **3. Greater Lincolnshire Strategic Economic Plan**

The Strategic Economic Plan (SEP) covers economic growth ambitions for the whole of Greater Lincolnshire (North Lincolnshire, North East Lincolnshire and the administrative County of Lincolnshire), including the coastal areas.

The SEP defines Greater Lincolnshire's priorities and drivers as

- 1 To drive the growth of the area's three defining and strongest sectors that offer the most competitive advantage: agri-food; manufacturing; the visitor economy
- 2 To grow specific opportunities identified as future defining features of the area: health and care; low carbon; ports and logistics
- 3 To drive this growth by putting expansion into new markets, modern telecommunications, infrastructure improvements and the skills of individuals and business owners at the forefront of what we do
- 4 To promote Greater Lincolnshire as a place for sustainable growth through improved transport infrastructure to connect us with national and international markets, enabling wider enjoyment of our world-class heritage sites, culture and strong communities
- 5 To recognise the need for new housing for the existing local population and those moving to the area, and to support balanced housing and economic development through promoting the area's capacity to deliver high-quality growth.

More specifically, it identifies water management, including coastal management, as a key means of achieving these goals, particularly in facilitating the infrastructure provision required to allow for housing growth of 100,000 by 2031. In this regard, the SEP identifies the following four key elements of water management in its programme.

- To encourage development through protecting land from development
- To undertake a detailed feasibility study into protection for the whole coastline of the Greater Lincolnshire Local Enterprise Partnership (GLLEP) area
- To promote the schemes that have arisen from our private sector-led review into water management
- To use water to support economic growth through irrigation, cooling, and other production processes

The GLLEP is supporting the development of a high-level Coastal Vision, the purpose of which is to draw together the main objectives of a range of long term, forward-looking plans and strategies for Coastal Greater Lincolnshire, providing a framework for a strategic approach to managing the coastal zone.

#### **4. Alignment between the SEP and the Coastal Study**

Lincolnshire County Council considers the principles of the Coastal Study to be broadly compatible with the objectives set out in the SEP. However, the Council is also mindful that the national policy context in which the Coastal Study was first produced has changed markedly since 2010, most obviously with all Local Authorities in Greater Lincolnshire having agreed the objectives in the SEP.

The opportunities to attract inward investment through promoting growth are exemplified in the annual provision of £15m through implementation of the devolution deal. At the same time, the ability to demonstrate the economic value of promoting growth through effective coastal management is beginning to allow access to external funding previously inaccessible for this purpose.

While the SEP takes a high level approach to the target of 100,000 new homes in Greater Lincolnshire over the Plan period, supplementary research demonstrates the key role of the LEP in securing added value through housing growth, an important element of which is exploring and implementing flood resilient design.

The SEP identifies agri-food and the visitor economy as fundamental building blocks to growing the Greater Lincolnshire economy. The core of these industries are located along the coast, with the visitor economy a key factor on the east coast and agri-food predominant in Boston and South Holland. Growth in these industries will require housing growth, either directly located close to the economic activity itself, or within travel distance of employment opportunities. There is therefore a need to consider carefully future housing requirements in East Lindsey's coastal zone in the light of growth in both these sectors.

At present 10% (around 4,300) of East Lindsey's workforce works in the agri-food sector (as defined by the LEP). There are concentrations around Wrangle, Old Leake and Wainfleet, in the north east of the district, and also in Louth and, to an extent, Mablethorpe (see map at Annex 1). Commuter flow data shows limited flow from East Lindsey into North East Lincolnshire and Boston for work in areas where there are high levels of agri-food employment. Based on the concentrations shown in Annex 1, just over 3,700 commuters from East Lindsey travel to the green areas on the map to work on a daily basis – though over one third of this is to work in Louth.

A further consideration is strategic infrastructure. This is key in enabling employment and housing growth, and is important in the SEP's aspirations for Greater Lincolnshire future growth. Developer contributions are essential in delivering such improvements, particularly in coastal areas with their potential for both agri-food and visitor economy development. This includes infrastructure to facilitate access within the coastal area as well as to and from it.

The County Council, therefore, considers it appropriate to define its own position in balancing the highly precautionary approach set out in the Coastal Study principles with the current policy emphasis on sustaining current economic activity and promoting future economic growth. This will inform the Council's position in supporting partner authorities to develop and implement spatial planning policy, particularly with regard to growth in coastal locations.

The Council considers that the following specific aspects of the Coastal Study principles require emphasis in the changed economic circumstances that have emerged since 2010, and in light of recent developments outlined above.

**Principle 1:** The primary principle of reducing the number of people at risk of flood hazard remains essential, as does the need to avoid strategic housing growth in these areas while continuing to promote commercial and employment development.

The potential to employ improved flood mitigation and resilience measures, coupled with emergency preparedness means that the flexibility within the principles to consider appropriate provision to meet local housing need can provide wider scope for exploration of this issue in the development of local planning policy. It is noted that the original coastal study considered this issue in respect of levels of housing growth that were strategic in a regional, rather than a local context.

With regard to employment and housing growth, the County Council is sceptical of the capacity of affordable housing alone meeting these needs. The Council considers the current draft Local Plan does not sufficiently address the balance between providing for housing growth appropriate to economic and employment growth as envisaged by the SEP, and managing housing supply in a manner consistent with reducing overall flood risk.

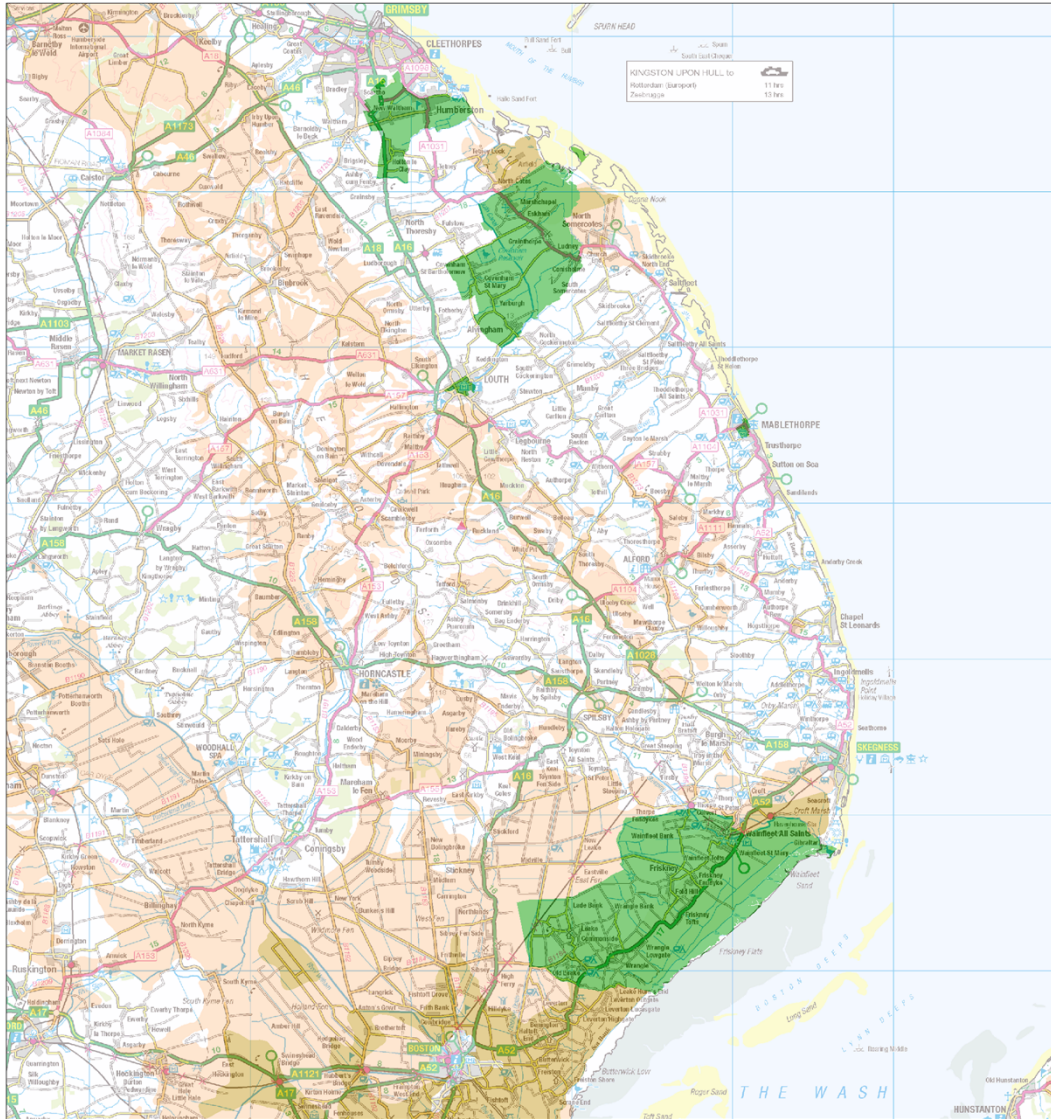
In particular, the current focus in the draft Local Plan on providing for local housing needs primarily through existing consents and affordable housing will encourage a greater concentration of vulnerable people into areas at high risk from coastal flooding, while discouraging provision of resilient housing that will support infrastructure investment and growth in the SEP's target economic sectors.

**Principle 2:** overall improvements in resilience, emergency preparedness and public understanding of flood risk are key elements within the existing Joint Lincolnshire Flood Risk and Drainage Management Strategy, and are considered to be good practice in respect of all developments, coastal and inland.

This is an area where the LEP's capacity to add value through promotion of resilient housing design could be substantially enlarged upon in relation to both housing and commercial development. The County Council would wish to explore these aspects more fully with the Local Planning Authority in the course of public consultation on the draft Local Plan.

**Principle 3:** supports delivery across a number of important aspects of the SEP, and in general terms is applicable in both coastal and non-coastal contexts. In this regard, the County Council considers the Coastal Study and the SEP to be entirely consistent. However, in order to achieve this principle in the coastal area, the way in which the draft Local Plan applies Principle 1, particularly with regard to housing provision and promotion of infrastructure improvement, requires further exploration.

# Annex 1



Levels of Agri-food Employment in East Lindsey

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- High Levels of Agri-food employment
- Grade 1 Land
- Grade 2 Land

It is proposed that the above brief analysis inform the position the County Council takes with regard to its engagement with its partners during public consultation on the draft East Lindsey Local Plan.

The County Council wishes to support the ambitions for economic growth set out in the Strategic Economic Plan for Greater Lincolnshire, and considers local planning policy to be a critical enabling factor in achieving these ambitions. The Council is therefore keen to work closely with its partners in supporting the development of Local Plans that are robust and effective in facilitating growth in all parts of the area. The Council, therefore, wishes to be clear and open with its partners in setting out its position with regard to the current draft Local Plan for East Lindsey, and to engage in detailed discussions with a view to resolving any outstanding issues prior to submission of the draft Local Plan to the planning inspectorate.

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**Open report on behalf of Richard Wills  
Executive Director responsible for Democratic Services**

Report to:	<b>Overview and Scrutiny Management Committee</b>
Date:	<b>28 July 2016</b>
Subject:	<b>Review of Scrutiny Update</b>

**Summary:**

This report updates members on the work of the Review of Scrutiny Working Group and seeks endorsement of the Scrutiny Protocol attached as Appendix A.

**Actions required:**

That the Committee notes the progress of the Review of Scrutiny Working Group and endorses the Scrutiny Protocol, attached as Appendix A to this report, as a means of developing a constructive and positive relationship between the Executive, scrutiny councillors and chief officers.

### 1. Background

- 1.1 In December of last year Council received an independent report from Dr Stuart Young, Chief Executive Officer of East Midlands Councils, on scrutiny arrangements at Lincolnshire County Council.
- 1.2 The report contained 17 recommendations designed to improve the working relationship between the Council's Executive and Scrutiny.
- 1.3 Council endorsed the findings of the report and invited the Overview and Scrutiny Management Committee to oversee the implementation of the recommendations.
- 1.4 The Overview and Scrutiny Management Committee subsequently set up a working group to carry out the detailed work required.
- 1.5 At the outset the Review of Scrutiny Working Group agreed to concentrate on three areas:
  - a) Working relationship between the Executive and Scrutiny
  - b) Culture of the organisation
  - c) Governance Structure for Scrutiny

- 1.6 It was recognised at an early stage that there would be mutual benefit in drawing up a scrutiny protocol, setting out the key ingredients for a fruitful partnership, including trust, tolerance, respect and partnership working.
- 1.7 Executive councillors, scrutineers and chief officers have been consulted on the protocol and much of the good practice identified has been accepted and already implemented. Attached to this report is the final draft of the document for this Committee's consideration.

**Next steps**

- 1.8 The Working Group will continue to meet to consider culture and the governance structure for scrutiny, with a view to reporting back to Council with proposals that can be in place for the new council in May 2017.
- 1.9 As part of their work, members of the Working Group have visited councils in Boston and Lincoln, and have highlighted the benefits of lengthy pieces of cross cutting scrutiny work in those areas (task and finish groups lasting up to a year).
- 1.10 It has been suggested by the Working Group that a suitable cross cutting topic for scrutiny could be identified by this Committee for similar treatment. However, there are resource issues to consider before committing to such a project. To fit in a scrutiny review by a task and finish group before the pre-election period next spring would have implications not only for the scrutiny team but for officers in the service area supporting the work. It would also impact on delivery of the work programme of the relevant committee and councillors would need to consider if their own commitments would permit this extra work.

**2. Conclusion**

Much of the good practice identified by the Review of Scrutiny Working Group has already been implemented. The Committee is asked to formalise this by endorsing the scrutiny protocol.

**3. Appendices**

These are listed below and attached at the back of the report	
Appendix A	Scrutiny Protocol

**4. Background Papers**

No background papers within Section 100D of the Local Government Act 1972 were used in the preparation of this report.

This report was written by Nigel West, Head of Democratic Services and Statutory Scrutiny Officer, who can be contacted on 01522 552840 or by e-mail at [nigel.west@lincolnshire.gov.uk](mailto:nigel.west@lincolnshire.gov.uk)



## **DEVELOPING RELATIONSHIPS BETWEEN THE EXECUTIVE AND OVERVIEW AND SCRUTINY**

This protocol sets out practical working arrangements, which will help develop a unity of purpose between the Executive, overview and scrutiny committees as well as the Council's senior managers. This protocol provides a framework for positive relationships between the Executive and overview and scrutiny committees, but its effectiveness is dependent on all councillors and officers accepting the principles underlying this protocol.

The Executive accepts that discharging the Overview and Scrutiny function should be a core responsibility of the Council, with appropriate and meaningful support from the Chief Executive, other members of the Corporate Management Board, and all the Council's officers.

The Overview and Scrutiny Management Committee accepts that to be effective the scrutiny process must be positive, constructive, independent, fair and open. It should complement the decision-making powers of the Executive and enable the voice and concerns of the public to be heard.

Overview and Scrutiny should be challenging, as its aim is to identify areas for improvement, but the process should not be confrontational or simply a means through which to apportion blame if things go wrong. Overview and Scrutiny will act as a 'critical friend' to the Executive and other decision makers in order to promote better services, policies and decisions. Trust and tolerance are key to the success of this protocol.

Councillor Martin Hill  
Leader of Lincolnshire County Council

Councillor Pat O'Connor  
Chairman of the Overview and Scrutiny Management Committee

## **A. EARLY INVOLVEMENT IN POLICY DEVELOPMENT**

The Executive would like to involve overview and scrutiny committees at an early stage in the development of new policies or changes to existing policies, or in the development of proposals for any significant changes in service provision. This early involvement extends beyond the provisions in the Constitution on the development of the policy and budget framework<sup>1</sup>. Examples of the need for early involvement are when there are issues of a contentious nature, sizeable budgetary implications, an impact on the whole community, or an impact on outside bodies or organisations. Early involvement could be carried out in a number of different ways:-

- An overview and scrutiny committee may adopt an informal meeting arrangement or workshop, to which all members of the committee would be invited, or establish a working group, comprising a group of members from the committee. These approaches enable options to be explored in detail and the outcomes or statement from the informal meeting, workshop, or working group could be reported directly to the Executive or Executive Councillor, or to a subsequent meeting of the overview and scrutiny committee.
- Where a topic requires in-depth consideration, a task and finish group may be established, subject to the availability of resources, which would lead to the submission of an evidence-based report with recommendations for the new policy or a change to an existing policy or on changes to service provision.
- A cross party briefing could be held with senior officers to inform councillors of a particular issue and collaboratively explore options in detail, with the outcomes shared with the relevant overview and scrutiny committee.

In each of the early involvement scenarios listed above the Executive would expect information shared to be used to explore the options available rather than for political point-scoring. Statements from the overview and scrutiny committee will be acknowledged by the decision maker, and a response made to the committee. This could either take the form of a written or an oral report at the next relevant meeting of the committee, which can be recorded in the committee's minutes.

In the case of in depth scrutiny reviews, which contain evidence-based recommendations, there is a requirement that a formal response to the recommendations from the Executive or Executive Councillor be prepared within two months<sup>2</sup>, which will indicate whether recommendations have been accepted or

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<sup>1</sup> Rule 3 of the Policy and Budget Framework Procedure Rules (Lincolnshire County Council Constitution) and Regulation 4 of the Local Authorities (Functions and Responsibilities) (England) Regulations 2000.

<sup>2</sup> Section 9FE of the Local Government Act 2000.

rejected, with appropriate reasons. Ideally, the response will contain an action plan, indicating how the accepted recommendations will be implemented.

## **B. EXECUTIVE DECISION MAKING**

Each relevant overview and scrutiny committee is encouraged to consider any proposed decisions, which are due to be made by the Executive or by an individual Executive Councillor, or any proposed key decision due to be made by a chief officer. This consideration would usually involve the relevant committee reviewing the report containing the proposed decision and preparing a statement on the proposals, including an indication of whether the committee supports the proposals, either wholly or in part. The committee's statement should be included in the report containing the proposed decision and submitted to the decision maker(s). If the statement is not available at the time the report is finalised due to exceptional circumstances, it will be circulated to the decision maker(s) for their consideration.

### Consensus and Minority Views

When overview and scrutiny committees reach a consensus, this will be reflected in the committee's statement. However, there will be circumstances where the committee's statement will reflect a range of views, including majority and minority opinions, and these should be so indicated in the committee's statement.

Whenever possible statements from the overview and scrutiny committee will be acknowledged by the decision maker, and a response made to the committee, which could either take the form of a written or an oral report. Any responses will be recorded in the committee's minutes.

## **C. ATTENDANCE AT MEETINGS OF THE EXECUTIVE**

The Chairman or Vice Chairman of the Overview and Scrutiny Management Committee will as far as possible attend each meeting of the Executive. Following these meetings the Chairman or Vice Chairman of the Overview and Scrutiny Management Committee will highlight any pertinent issues to the next meeting of the Overview and Scrutiny Management Committee. The Chairman may also raise any issues with any other overview and scrutiny committee of the Council.

The Chairmen or Vice Chairmen of overview and scrutiny committees should attend meetings of the Executive, where an item relevant to their committee's remit is being considered. Where chairmen or vice chairmen can attend, they will be invited to present any statements to the Executive. This will help to facilitate a good working relationship between the Executive and Overview and Scrutiny, and ensure that the

Executive directly hears the reasons for the overview and scrutiny committee's recommendations and comments and to clarify the outcome from the overview and scrutiny committee.

Where an overview and scrutiny committee has completed an in-depth review, the Chairman or Vice Chairman of the overview and scrutiny committee may wish to present the report and recommendations from their committee, unless in appropriate circumstances, the lead member from the task and finish group is invited by the Chairman to present the report.

#### **D. ATTENDANCE AT MEETINGS OF THE OVERVIEW AND SCRUTINY COMMITTEES**

It is accepted that Executive Councillors may not be able to attend all meetings of their relevant scrutiny committees. A scrutiny committee may request the attendance of an Executive Councillor for a particular item on the agenda. In such cases if the Executive Councillor is not available he or she should be represented by the Executive Support Councillor.

Each overview and scrutiny committee should consider whether to include Statements from Executive Councillor(s) as a standard agenda item, where the Executive Councillor(s) or Executive Support Councillor(s) could advise the committee of any relevant matters, including any responses to statements or recommendations, in accordance with sections A and B above.

#### **E. SETTING THE AGENDA**

##### Briefing Meetings Between Executive Councillors and Overview and Scrutiny Committee Chairmen

Regular briefing meetings are recommended between the Chairmen and Vice Chairmen of overview and scrutiny committees and the relevant Executive Councillor(s) and Executive Support Councillor(s). These meetings should include the scrutiny officers, and any relevant officers if required. Ideally, such meetings should take place every quarter if possible. These meetings will support the development of a dialogue, leading to a unity of purpose, between overview and scrutiny and the Executive. The meetings could inform the content of the committee's work programme, with suggestions for future scrutiny reviews and agenda topics, including items which would involve the committee in developing new policies or reviewing existing policies.

## Setting the Agenda

Overview and scrutiny committees should not, as a general rule, involve themselves in relatively minor matters or individual cases, particularly where there are other processes, which can handle these issues more effectively. Overview and scrutiny committees should not be used as an opportunity to argue over issues which are of an overtly party political nature, which can be dealt with more appropriately through meetings of the County Council.

The management of the work programme of each scrutiny committee is a role for the Overview and Scrutiny Management Committee (OSMC). Each year the OSMC will draw up in advance the annual scrutiny programme, based on the results of meetings between the scrutiny chairmen, vice-chairmen and officers in the various service areas. Adequate resource should be identified for delivering the programme, with some unallocated time for unplanned scrutiny items.

At each meeting of an overview and scrutiny committee, there will be an opportunity to review the committee's future work programme. This provides an opportunity for individual members of each committee to ask for a particular item to be included but, depending on resource, this may be at the expense of something else in the programme. All members on an overview and scrutiny committee should be encouraged to bring forward items of community interest to the committee.

## Requests by the Executive to Overview and Scrutiny Committees

The Leader of the Council, the Executive, or an individual Executive Councillor, may request an overview and scrutiny committee to consider a particular matter within its remit which could be related to issues of a contentious nature, sizeable budgetary implications, an impact on the whole community, or an impact on outside bodies or organisations. This could range from consideration of a matter at a single committee meeting to a full scrutiny review, taking place over several months.

Depending on the timing of the request, a report may be submitted to the next meeting of the committee. Alternatively, a request for a more extensive piece of work may be considered by the committee as part of its work programme item on its next agenda.

## **F. COUNTY COUNCIL OFFICERS**

### General

All officers are employees of the County Council as a whole. Corporate Management Board and other senior officers are responsible for day-to-day managerial and operational decisions. Whilst most of their work is directed to supporting the activities of the Executive, they have a role in supporting all councillors, including councillors involved in the overview and scrutiny function. The Chief Executive, as head of the paid service, will ensure that sufficient and independent support is given to the overview and scrutiny function.

### The Role of Statutory Scrutiny Officer

The role of the statutory scrutiny officer is defined by statute<sup>3</sup> as:

- (a) promoting the role of the Council's overview and scrutiny committees;
- (b) providing support to the Council's overview and scrutiny committees and their members; and
- (c) providing support and guidance to all members of the Council and its officers on the functions of the Council's overview and scrutiny committees.

The Council's scrutiny officers will support the statutory scrutiny officer in their role and provide support to the overview and scrutiny function.

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<sup>3</sup> Section 9FB of the Local Government Act 2000



**Open Report on behalf of Richard Wills, Director responsible for Democratic Services**

Report to:	<b>Overview and Scrutiny Management Committee</b>
Date:	<b>28 July 2016</b>
Subject:	<b>Overview and Scrutiny Work Programme</b>

**Summary:**

This item enables the Overview and Scrutiny Management Committee to consider both its own work programme and the scrutiny committee work programmes for 2016/17. The Committee is invited to consider and comment on the content of the work programmes.

**Actions Required:**

1. To consider and comment on the content of the Overview and Scrutiny Management Committee work programme, as set out at Appendix A.
2. To approve the work programmes from overview and scrutiny committees set out at Appendix B, or where it feels clarification on certain elements is required, to refer back to the relevant committee for further information prior to approval.
3. To consider and comment on the Working Group activity as set out at Appendix C to this report.
4. To comment on the work programmes in light of the Executive Forward Plan as set out at Appendix D.

**1. Background**

**Overview and Scrutiny Management Committee**

The Overview and Scrutiny Management Committee meets on a monthly basis. Each agenda includes the following items: -

- Call ins (if required)
- Councillor Call for Action (if required)
- Overview and Scrutiny Management Committee Work Programme.
- Scrutiny Committee Work Programmes
- Summary of any Task and Finish Group and Working Group activity

The work programme for this Committee is set out in Appendix A. The Overview and Scrutiny Management Committee is invited to consider and comment on the content of this programme.

### **Scrutiny Committee Work Programmes**

The work programme for each scrutiny committee is set out at Appendix B for consideration. These documents provide Members with a key opportunity to manage the work programme of all scrutiny committees.

### **Committee Working Group Activity**

Overview and Scrutiny Committees may establish informal working groups, which usually meet on one or two occasions, usually to consider matters in greater detail, and then to put their proposals to Committee. Details of Working Group activity is set out at Appendix C.

### **Executive Forward Plan**

The Executive Forward Plan of key decisions to be taken from 1 August 2016 is set out at Appendix D. This is background information for the Committee's consideration to ensure that all key decisions are scrutinised by the relevant scrutiny committee.

## **2. Conclusion**

That consideration is given to the content of this report.

## **3. Consultation**

### **a) Policy Proofing Actions Required**

This item does not require Policy Proofing.

## **4. Appendices**

These are listed below and attached at the back of the report	
Appendix A	Overview and Scrutiny Management Committee Work Programme
Appendix B	Scrutiny Committee Work Programmes
Appendix C	Task and Finish Group and Working Group Activity
Appendix D	Executive Forward Plan

## **5. Background Papers**

No background papers within Section 100D of the Local Government Act 1972 were used in the preparation of this report.

This report was written by Nigel West, Head of Democratic Services and Statutory Scrutiny Officer, who can be contacted on 01522 552840 or by e-mail at [nigel.west@lincolnshire.gov.uk](mailto:nigel.west@lincolnshire.gov.uk)

**OVERVIEW AND SCRUTINY MANAGEMENT COMMITTEE****WORK PROGRAMME**

<b>28 July 2016</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Call-in (if required)		Call-in
Councillor Call for Action (if required)		CCfA
Review of Financial Performance 2015/16	David Forbes, County Finance Officer	Pre-Decision Scrutiny (Executive Decision on 6 September 2016)
Draft East Lindsey Local Plan - Consultation	David Hickman Environment Commissioner  Andy Gutherson County Commissioner for Economy and Place	Consultation
Combined Authority – Scrutiny Arrangements	Nigel West, Head of Democratic Services and Statutory Scrutiny Officer	Status Report
Review of Scrutiny Update	Nigel West, Head of Democratic Services and Statutory Scrutiny Officer	Review Activity
Overview and Scrutiny Work Programme	Nigel West, Head of Democratic Services and Statutory Scrutiny Officer	Status Report

<b>29 September 2016</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Call-in (if required)		Call-in
Councillor Call for Action (if required)		CCfA
Budget Monitoring Report 2016/17	David Forbes, County Finance Officer	Pre-Decision Scrutiny (Executive Decision on 4 October 2016)
2016/17 Council Business Plan Quarter 1	Jasmine Sodhi Performance and Equalities Manager	Budget Scrutiny / Performance Scrutiny
Developing a Model for the Charging of LCC Services to Schools – Update on Individual Charges for Services	James Sharples Change Manager, Performance and Programmes  Miriam Binsztok Senior Commissioning Officer	Status Report

<b>29 September 2016</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Verbal Update on Review of Scrutiny Working Group	Nigel West, Head of Democratic Services and Statutory Scrutiny Officer	Review Activity
Overview and Scrutiny Work Programme	Nigel West, Head of Democratic Services and Statutory Scrutiny Officer	Status Report

<b>27 October 2016</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Call-in (if required)		Call-in
Councillor Call for Action (if required)		CCfA
Verbal Update on Review of Scrutiny Working Group	Nigel West, Head of Democratic Services and Statutory Scrutiny Officer	Review Activity
Overview and Scrutiny Work Programme	Nigel West, Head of Democratic Services and Statutory Scrutiny Officer	Status Report

<b>24 November 2016</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Call-in (if required)		Call-in
Councillor Call for Action (if required)		CCfA
2016/17 Council Business Plan Quarter 2	Jasmine Sodhi Performance and Equalities Manager	Budget Scrutiny / Performance Scrutiny
Review of Scrutiny Working Group – Structure Change	Nigel West, Head of Democratic Services and Statutory Scrutiny Officer	Review Activity
Overview and Scrutiny Work Programme	Nigel West, Head of Democratic Services and Statutory Scrutiny Officer	Status Report

<b>22 December 2016</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Call-in (if required)		Call-in
Councillor Call for Action (if required)		CCfA
Overview and Scrutiny Work Programme	Nigel West, Head of Democratic Services and Statutory Scrutiny Officer	Status Report

<b>26 January 2017</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Call-in (if required)		Call-in
Councillor Call for Action (if required)		CCfA
Revenue and Capital Budget Monitoring Report 2016/17	David Forbes, County Finance Officer	Pre-Decision Scrutiny (Executive Decision on 7 February 2017)
Overview and Scrutiny Work Programme	Nigel West, Head of Democratic Services and Statutory Scrutiny Officer	Status Report

<b>23 February 2017</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Call-in (if required)		Call-in
Councillor Call for Action (if required)		CCfA
2016/17 Council Business Plan Quarter 3	Jasmine Sodhi Performance and Equalities Manager	Budget Scrutiny / Performance Scrutiny
Overview and Scrutiny Work Programme	Nigel West, Head of Democratic Services and Statutory Scrutiny Officer	Status Report

<b>30 March 2017</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Call-in (if required)		Call-in
Councillor Call for Action (if required)		CCfA
Overview and Scrutiny Work Programme	Nigel West, Head of Democratic Services and Statutory Scrutiny Officer	Status Report

**For more information about the work of the Overview and Scrutiny Management Committee please contact Nigel West, Head of Democratic Services and Statutory Scrutiny Officer, on 01522 552840 or by e-mail at [nigel.west@lincolnshire.gov.uk](mailto:nigel.west@lincolnshire.gov.uk)**

**SCRUTINY COMMITTEE WORK PROGRAMMES****ADULTS SCRUTINY COMMITTEE**

Chairman: Councillor Hugo Marfleet  
 Vice Chairman: Councillor Rosie Kirk

<b><i>7 September 2016 – 10.00 am</i></b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Day Centre Visits	Various Committee Members	Status Report
Adult Care – Quarter 1 Performance Information	Emma Scarth, County Manager, Performance, Quality and Development	Performance Scrutiny
Adult Care – Quarter 1 Budget Monitoring	Steve Houchin, Head of Finance, Adult Care	Budget Scrutiny
Adults with Learning Disabilities – Items referred to in Local Account - Employment and Health Care	Justin Hackney, Assistant Director of Social Services – Specialist Adult Services	Status Report
Section 75 Agreement for Mental Health Services	Justin Hackney, Assistant Director of Social Services – Specialist Adult Services	Pre-Decision Scrutiny
Peer Review for Adult Care	Glen Garrod, Director of Adult Social Services	Status Report

<b><i>19 October 2016 – 10.00 am</i></b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Service Developments for Carers	Jane Mason, County Manager, Carers  Representative from Carers First.	Update Report
Care Quality Commission Update	Deanna Westwood Inspection Manager, Care Quality Commission	Update Report

<b>19 October 2016 – 10.00 am</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Delayed Transfers of Care	Lynne Bucknell, County Manager, Special Projects and Hospital Services  Nicola Tallent, Senior Engagement Officer, Healthwatch Lincolnshire	Status Report
Adult Care ICT Support	Judith Hetherington Smith, Chief Information and Commissioning Officer	Update Report
Customer Satisfaction for Adult Care	Emma Scarth, County Manager, Performance, Quality and Development	Performance Scrutiny
Brokerage	Lynne Bucknell, County Manager, Special Projects and Hospital Services	Pre-Decision Scrutiny

<b>30 November 2016 – 10.00 am</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Sensory Impairment Service – Provider Perspective	Representatives from: <ul style="list-style-type: none"> <li>Action on Hearing Loss</li> <li>Lincoln and Lindsey Blind Society</li> <li>South Lincolnshire Blind Society</li> </ul>	Status Report
Adult Care – Quarter 2 Performance Information	Emma Scarth, County Manager, Performance, Quality and Development	Performance Scrutiny
Adult Care – Quarter 2 Budget Monitoring	Steve Houchin, Head of Finance, Adult Care	Budget Scrutiny
Wellbeing Service	Tony McGinty, Consultant in Public Health	Update Report
Minutes of the Safeguarding Scrutiny Sub Group Meeting – 28 September 2016	Catherine Wilman, Democratic Services Officer	Update Report

**For more information about the work of the Adults Scrutiny Committee please contact Simon Evans, Health Scrutiny Officer, on 01522 553607 or by e-mail at [simon.evans@lincolnshire.gov.uk](mailto:simon.evans@lincolnshire.gov.uk)**

## CHILDREN AND YOUNG PEOPLE SCRUTINY COMMITTEE

Chairman: Councillor John Hough  
Vice Chairman: Councillor Ray Wootten

<b>9 September 2016</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Partners in Practice	Debbie Barnes Executive Director of Children's Services	Status Report
Post 16 Strategic Priorities and Area Based Review	Maggie Freeman 14 – 19 Commissioner	Pre-Decision Scrutiny (Executive Councillor decision on 27 September 2016)
Centre for Public Scrutiny's Guide to Scrutinising Children's Safeguarding Arrangements – Key Scrutiny Questions for Safeguarding – Questions 5-7	Janice Spencer Assistant Director – Children's (Safeguarding)	Performance Scrutiny
Theme Performance: Quarter 1	Sally Savage Chief Commissioning Officer – Children's	Performance Scrutiny
Lincolnshire Safeguarding Boards Scrutiny Sub- Group Update	Cllr Ron Oxby Chairman of the Sub Group	Member Report
Additional Item		

<b>21 October 2016</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Open Select List for Placements	Amy Allcock Commissioning Officer  Mark Rainey Children's Commissioning Manager	Pre-Decision Scrutiny (Executive Councillor decision TBC)
Educational Excellence Everywhere	Heather Sandy Chief Commissioner - Learning	Status Report
Sector Led Model for School Improvement – Update Report	Heather Sandy Chief Commissioner - Learning	Policy Development
Inclusive Lincolnshire Strategy – Six Monthly Update	Mary Meredith Service Manager – Inclusion	Update Report
Corporate Parenting Panel Update	Cllr David Brailsford Chairman of the Panel	Member Report
Children's health services future model and commissioning plan (EXEMPT)	Charlotte Gray Team Manager Children's Commissioning	Pre-Decision Scrutiny (Executive Decision on 1 November 2016)



<b>25 November 2016</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Theme Performance: Quarter 2	Sally Savage Chief Commissioning Officer – Children's	Performance Scrutiny
Lincolnshire Safeguarding Boards Scrutiny Sub- Group Update	Cllr Ron Oxby Chairman of the Sub Group	Member Report
Centre for Public Scrutiny's Guide to Scrutinising Children's Safeguarding Arrangements – Key Scrutiny Questions for Safeguarding – Questions 8, 9, 13, 14	Janice Spencer Assistant Director – Children's (Safeguarding)	Performance Scrutiny
Additional Item		
Additional Item		
Additional Item		

**For more information about the work of the Children and Young People Scrutiny Committee please contact Tracy Johnson, Senior Scrutiny Officer, on 01522 552164 or by e-mail at [Tracy.Johnson@lincolnshire.gov.uk](mailto:Tracy.Johnson@lincolnshire.gov.uk)**

**COMMUNITY AND PUBLIC SAFETY SCRUTINY COMMITTEE**

Chairman: Councillor Chris Brewis  
 Vice Chairman: Councillor Linda Wootten

<b>14 September 2016</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Assuring Sustainability of the Lincolnshire Archive	Tony McGinty, Consultant in Public Health	Pre-Decision Scrutiny Executive: 4 October 2016
Future of the Heritage Services	Tony McGinty, Consultant in Public Health	Pre-Decision Scrutiny Executive: 4 October 2016
Wellbeing Service Recommissioning Plan	Robin Bellamy, Wellbeing Commissioning Manager	Pre-Decision Scrutiny Executive: 4 October 2016
Prevent Update	Nicole Hilton, Community Assets and Resilience Commissioning Manager	Update Report
Lincolnshire Resilience Forum	Ian Reed, Emergency Planning	Status Report
Performance Report, Quarter 1 – (1 April to 30 June 2016)	Mark Housley, County Officer Public Protection; Tony McGinty, Consultant in Public Health; Nick Borrill, Acting Chief Fire Officer	Performance Scrutiny
<b>Sitting as the Crime and Disorder Committee</b>		
Integrated Approach to Reducing Offending; and Reoffending	Mark Housley, County Officer Public Protection	Status Report

<b>02 November 2016</b>		
<b>Committee Room, NKDC, Sleaford</b>		
<b>Site Visit to Sleaford Library &amp; Heckington Community Hub</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Library Service Update & Meeting with Greenwich Leisure Limited	Tony McGinty, Consultant in Public Health	Update Report
Domestic Abuse Update	Karen Shooter, County Domestic Abuse Manager	Update Report

<b>14 December 2016</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Update on the recruitment and retention of retained Firefighters	Nick Borrill, Acting Chief Fire Officer	Update Report
Lincolnshire Community Assistance Scheme (LCAS) Update	Nicole Hilton, Community Assets and Resilience Commissioning Manager	Status Report
Performance Report, Quarter 2 – (1 July to 30 September 2016)	Mark Housley, County Officer Public Protection; Tony McGinty, Consultant in Public Health; Nick Borrill, Acting Chief Fire Officer	Performance Scrutiny
Neighbourhood Policing update	Mark Housley, County Officer Public Protection	Update Report
Meet and Greet' Session with the new supplier of the Community Substance Misuse Treatment Services	Tony McGinty, Consultant in Public Health	Workshop

**For more information about the work of the Community and Public Safety Scrutiny Committee please contact Daniel Steel, Scrutiny Officer, on 01522 552102 or by e-mail at [daniel.steel@lincolnshire.gov.uk](mailto:daniel.steel@lincolnshire.gov.uk)**

## ECONOMIC SCRUTINY COMMITTEE

Chairman: Councillor Tony Bridges  
Vice Chairman: Councillor Chris Pain

<b>13 September 2016</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Theme Performance: Quarter 1	Justin Brown Enterprise Commissioner	Performance Scrutiny
Sector Plans: what's been achieved to date and required next steps	Samantha Harrison Commissioning Manager (Enterprise)	Performance Scrutiny
Business Support Services: Growth Hub and Wider Partner Services	Angela Driver Senior Commissioning Officer (Enterprise)	Status Report
Place Marketing – Update	Ruth Carver Commissioning Manager (LEP)	Update Report
Working with Foreign Owned Businesses in Lincolnshire	Jill McCarthy Principal Officer Growth	Policy Development
South Lincolnshire Food Enterprise Zone – Scheme Appraisal	Simon Wright Principal Officer - Regeneration	Policy Development

<b>18 October 2016</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
LCC and Department of Work and Pensions Joint Working	Michelle Simpson Department of Work and Pensions (DWP)	Status Report
Midlands Engine / Northern Powerhouse	Justin Brown Enterprise Commissioner	Status Report
LCC Investor Promotion Activity	Jill McCarthy Principal Officer Growth	Policy Development
Training to Fill Hard-to-fill Vacancies (Progress with ESF opt-ins etc)	Clare Hughes Principal Commissioning Officer (LEP)	Status Report
Adult Learning Programme and its Contribution to Economic Development	Thea Croxall Principal Commissioning Officer (Learning)	Policy Development
Fund of Funds Update and Next Steps	Samantha Harrison Commissioning Manager (Enterprise)	Update Report

<b>29 November 2016</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Lincoln Science and Innovation Park including the new Boole Technology Centre	Tom Blount Director of the Lincoln Science and Innovation Park	Status Report
Tourism Review Update and Historic Lincoln Plans for 2017 (Commemoration of Battle of Lincoln Fair etc)	Mary Powell Commissioning Manager (Tourism)	Update Report
Theme Performance: Quarter 2	Justin Brown Enterprise Commissioner	Performance Scrutiny
Single Local Growth Fund Accountable Body Progress Report	Linsay Hill Pritchard Principal Commissioning Officer (Accountable Body)	Performance Scrutiny
Impact of Transportation on Maximising Economic Growth (ITMEG) Update	Andy Gutherson County Commissioner for Economy and Place	Scrutiny Review Activity

**For more information about the work of the Economic Scrutiny Committee please contact Tracy Johnson, Senior Scrutiny Officer, on 01522 552164 or by e-mail at [Tracy.Johnson@lincolnshire.gov.uk](mailto:Tracy.Johnson@lincolnshire.gov.uk)**

**ENVIRONMENTAL SCRUTINY COMMITTEE**  
**FLOOD AND DRAINAGE MANAGEMENT SCRUTINY COMMITTEE**

Chairman: Councillor Lewis Strange  
 Vice Chairman: Councillor Mrs Victoria Ayling

<i>29 July 2016</i> Environmental Scrutiny Committee		
Item	Contributor	Purpose
<b>OFFSITE VISIT</b>		

<i>02 September 2016</i> Environmental Scrutiny Committee		
Item	Contributor	Purpose
<b>CANCELLED – Items moved to October 2016</b>		

<i>23 September 2016</i> Flood and Drainage Management Scrutiny Committee		
Item	Contributor	Purpose
Property Level Preventative Measures	Mary Dhonau, MDA Specialist Independent Community Flood Consultancy	Status Report
Investigations held under Section 19 of the Flood and Water Management Act 2010	Mark Welsh, Flood Risk and Development Manager	Update Report
Environment Agency Update	Deborah Campbell, Environment Agency	Update Report

**14 October 2016**  
**Environmental Scrutiny Committee**  
**Lincoln Energy from Waste (EfW) Facility, Whisby Rd, Lincoln**

<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Performance Report, Quarter 1 (1 April to 30 June 2016)	Sean Kent, Group Manager Environmental Services	Performance Scrutiny
Carbon Management Plan Annual Report	Douglas Robinson, Sustainability Team Leader	Update Report
Household Waste Recycling Centres Update	Sean Kent, Group Manager Environmental Services	Update Report

**For more information about the work of the Environmental Scrutiny Committee & Flood and Drainage Management Scrutiny Committee please contact Daniel Steel, Scrutiny Officer on 01522 552102 or by e-mail at [daniel.steel@lincolnshire.gov.uk](mailto:daniel.steel@lincolnshire.gov.uk)**

## HEALTH SCRUTINY COMMITTEE FOR LINCOLNSHIRE

Chairman: Councillor Mrs Christine Talbot

Vice Chairman: Councillor Chris Brewis

<b>21 September 2016</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Lincolnshire Cancer Strategy	Sarah-Jane Mills, Director of Planned Care and Cancer Services at Lincolnshire West Clinical Commissioning Group	Update Report
Urgent Care Update	Gary James, Accountable Officer, Lincolnshire East Clinical Commissioning Group	Update Report
United Lincolnshire Hospitals NHS Trust - Pharmacy Services	Colin Costello, Director of Pharmacy and Medicines Optimisation, United Lincolnshire NHS Trust	Update Report
East Midlands Ambulance Service NHS Trust – Response to the Care Quality Commission Report	Richard Henderson, Acting Chief Executive, East Midlands Ambulance Service NHS Trust  Blanche Lentz, Lincolnshire Divisional Manager, East Midlands Ambulance Service NHS Trust	Update Report
Quality Accounts 2015-16 – Priorities and Comments of the Health Scrutiny Committee	Simon Evans, Health Scrutiny Officer	Status Report

<b>26 October 2016</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Dental Services Contracts in Lincolnshire	To be confirmed	Status Report
Lincolnshire West Clinical Commissioning Group Update	To be confirmed	Status Report
Butterfly Hospice	To be confirmed	Status Report



<b>23 November 2016</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Joint Health and Wellbeing Strategy – Annual Assurance Report	David Stacey, Programme Manager – Strategy and Performance  Alison Christie, Programme Manager – Health and Wellbeing	Update Report
Lincolnshire Health and Care – Consultation	To be confirmed	Consultation
Queen Elizabeth Hospital, King's Lynn, General Status Report	To be confirmed	Status Report

Items to be programmed

- Dementia and Neurological Services
- Lincolnshire East CCG Update
- South West Lincolnshire CCG Update
- South Lincolnshire CCG Update
- Reducing Alcohol Harm in Lincolnshire - Update on Services Report (*No earlier than October 2016*)
- St Barnabas Hospice (*Feb 2017*)

**For more information about the work of the Health Scrutiny Committee for Lincolnshire please contact Simon Evans, Health Scrutiny Officer, on 01522 553607 or by e-mail at [Simon.Evans@lincolnshire.gov.uk](mailto:Simon.Evans@lincolnshire.gov.uk)**

## **HIGHWAYS AND TRANSPORT SCRUTINY COMMITTEE**

Chairman: Councillor Michael Brookes  
Vice Chairman: Councillor Andrew Hagues

<b>12 September 2016</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Performance Report, Quarter 1 (1 April to 30 June 2016)	Paul Rusted, Infrastructure Commissioner	Performance Scrutiny
Street Lighting Transformation Project Update	Richard Hardesty, Senior Project Leader	Update Report
Total Transport & Passenger Transport Market Moderation (TransportConnect Ltd) Update	Anita Ruffle, Group Manager PTU	Update Report
Highways Asset Management Strategy	Paul Rusted, Infrastructure Commissioner	Status Report
Preparations for Winter 2016/17 Winter Maintenance Working Group	David Davies, Principal Maintenance Engineer	Update Report
Enhancing our Users' Experience	Satish Shah, Network Manager South	Update Report

<b>24 October 2016</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Major Schemes Update	Paul Rusted, Infrastructure Commissioner	Update Report

<b>28 November 2016</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Performance Report, Quarter 2 – (1 July to 30 September 2016)	Paul Rusted, Infrastructure Commissioner	Performance Scrutiny
Street Lighting Transformation Project Update	Richard Hardesty, Senior Project Leader	Update Report
Winter Maintenance Update	David Davies, Principal Maintenance Engineer	Update Report
CCTV Pilot Scheme for Parking enforcement outside schools update	Matt Jones, Parking Services Manager	Update Report
Future Service Delivery Update	Paul Rusted, Infrastructure Commissioner	Update Report

**For more information about the work of the Highways and Transport Scrutiny Committee please contact Daniel Steel, Scrutiny Officer on 01522 552102 or by e-mail at [daniel.steel@lincolnshire.gov.uk](mailto:daniel.steel@lincolnshire.gov.uk)**

## VALUE FOR MONEY SCRUTINY COMMITTEE

Chairman: Councillor Mrs Angela Newton

Vice Chairman: Councillor Mrs Jackie Brockway

<b>27 September 2016</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Performance of the Corporate Support Services Contract and outcome of KPI Review	Judith Hetherington-Smith, Chief Information and Commissioning Officer	Performance Scrutiny
County Council Property Assets – Detailed Analysis	Kevin Kendall, County Property Officer	Status Report
Treasury Management Performance Quarter 4 (1 January to 31 March 2016) including Treasury Management Annual Report	Karen Tonge, Treasury Manager	Performance Scrutiny
Treasury Management Performance Quarter 1 (1 April to 30 June 2016)	Karen Tonge, Treasury Manager	Performance Scrutiny
Corporate Health and Safety Annual Report 2015/16	Fraser Shooter, Corporate Health & Safety Advisor	Status Report

<b>22 November 2016</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Performance of the Corporate Support Services Contract	Judith Hetherington-Smith, Chief Information and Commissioning Officer	Performance Scrutiny
Workforce Strategy Update	Fiona Thompson, Service Manager - People	Status Report
Voice of the Customer Annual Feedback Report	Daniel Taylor, Client Lead, Customer Services Centre	Status Report
Treasury Management Performance Quarter 2 (1 July to 30 September 2016)	Karen Tonge, Treasury Manager	Performance Scrutiny

<b>17 January 2017</b>		
<b>Item</b>	<b>Contributor</b>	<b>Purpose</b>
Budget Proposals 2017/18 for the commissioning strategies overseen by Value for Money Scrutiny Committee	TBC	Budget Scrutiny

**For more information about the work of the Value of Money Scrutiny Committee please contact please contact Daniel Steel, Scrutiny Officer, on 01522 552102 or by e-mail at [daniel.steel@lincolnshire.gov.uk](mailto:daniel.steel@lincolnshire.gov.uk)**

## Task and Finish Group Review Activity

(as at 20 July 2016)

### Current Reviews

<b>Committee</b>	<b>Task &amp; Finish Group</b>	<b>Next Meeting(s)</b>	<b>Completion Date</b>

All completed review reports to be approved by parent scrutiny committee before consideration at a meeting of the County Council's Executive.

## Working Group Activity

(as at 20 July 2016)

<b>Committee</b>	<b>Working Group</b>	<b>Meeting Date(s)</b>	<b>Notes (if Any)</b>
Overview and Scrutiny Management Committee	Review of Scrutiny	28 July 2016 29 September 2016 27 October 2016	The Working Group comprises Councillors R B Parker (Chairman), Mrs M J Overton MBE (Vice-Chairman), C J T H Brewis, Mrs J Brockway, A J Jesson, C E D Mair, Mrs A M Newton, and C L Strange.
Children and Young People Scrutiny Committee	School Performance	First meeting held on 14 June 2016. Further dates to be arranged.	The Working Group comprises Councillors D Brailsford, Mrs J Brockway, S R Dodds, J D Hough, Mrs H N J Powell and Mrs L A Rollings.
Children and Young People Scrutiny Committee	Post 16 Priorities and Area Based Review	25 July 2016	The Working Group comprises Councillors Mrs J Brockway, J D Hough and Mrs L A Rollings.
Children and Young People Scrutiny Committee	Safeguarding Children Focus Group	18 October 2016	Members of the Children and Young People Scrutiny Committee.
Flood and Drainage Management Scrutiny Committee	River Steeping	To Be Arranged. (Expected – September 2016)	The Working Group comprises Councillors C L Strange, A Bridges, M Brookes, C Pain, I G Fleetwood (West Lindsey District Council) as well as Eddie Poll, Chair of the Anglia (Northern) Regional Flood and Coastal Committee (RFCC)

Committee	Working Group	Meeting Date(s)	Notes (if Any)
Flood and Drainage Management Scrutiny Committee	Anglian Water and Statutory Consultee Planning Application Process	To Be Arranged.	<p>The Working Group comprises Councillors C L Strange, A Bridges, M Brookes, C Pain and I G Fleetwood (West Lindsey District Council).</p> <p>The Working Group will consider the need for Water Companies to be considered as a statutory consultee in planning application process.</p>

**FORWARD PLAN OF KEY DECISIONS FROM 01 AUGUST 2016**

DEC REF	MATTERS FOR DECISION	REPORT STATUS	DECISION MAKER AND DATE OF DECISION	PEOPLE/GROUPS CONSULTED PRIOR TO DECISION	DOCUMENTS TO BE CONSIDERED	OFFICER(S) FROM WHOM FURTHER INFORMATION CAN BE OBTAINED AND REPRESENTATIONS MADE (All officers are based at County Offices, Newland, Lincoln LN1 1YL unless otherwise stated)	DIVISIONS AFFECTED
I010919	Fire and Rescue - Draft Integrated Risk Management Plan	Open	Executive 6 Sep 2016	Public consultation; Community and Public Safety Scrutiny Committee	Report	Acting Chief Fire Officer Tel: 01522 582202 Email: nick.borrill@lincoln.fire-uk.org	All Divisions
I011796	Lincoln Eastern Bypass - Decision to award archaeological contract	Open	Executive Councillor: Governance, Communications, Commissioning, Finance and Property 10 Aug 2016	Executive Councillor for Highways, Transport and IT	Report	Senior Project Leader - Major Schemes Tel: 01522 555587 Email: lee.rowley@lincolnshire.gov.uk	Lincoln Birchwood; Lincoln Boultham; Lincoln Bracebridge; Lincoln East; Lincoln Glebe; Lincoln Hartsholme; Lincoln Moorland; Lincoln North; Lincoln Park; Lincoln West
I010797	Review of Financial Performance 2015/16	Open	Executive 6 Sep 2016	Partners; Overview and Scrutiny Management Committee	Report	County Finance Officer Tel: 01522 553642 Email: david.forbes@lincolnshire.gov.uk	All Divisions
I011793	Adoption of the Lincolnshire County Council Permitting Scheme	Open	Executive 6 Sep 2016	Full consultation was carried out in accordance with Statutory Guidance	Report	Regulation Services Manager Tel: 01522 552105 mick.phoenix@lincolnshire.gov.uk	All Divisions

**FORWARD PLAN OF KEY DECISIONS FROM 01 AUGUST 2016**

DEC REF	MATTERS FOR DECISION	REPORT STATUS	DECISION MAKER AND DATE OF DECISION	PEOPLE/GROUPS CONSULTED PRIOR TO DECISION	DOCUMENTS TO BE CONSIDERED	OFFICER(S) FROM WHOM FURTHER INFORMATION CAN BE OBTAINED AND REPRESENTATIONS MADE (All officers are based at County Offices, Newland, Lincoln LN1 1YL unless otherwise stated)	DIVISIONS AFFECTED
I011808	Changes to Highway Asset Management Plan	Open	Executive Councillor: Highways, Transport and IT  19 Sep 2016	Highway Asset Management Plan	Report	Infrastructure Commissioner Tel: 01522 553071 Email: paul.rusted@lincolnshire.gov.uk	All Divisions
I010710	Future of the Heritage Services	Open	Executive  4 Oct 2016	Community and Public Safety Scrutiny Committee	Report	Community Assets and Resilience Commissioning Manager Tel: 01522 553786 Email: nicole.hilton@lincolnshire.gov.uk	All Divisions
I010171	Assuring Sustainability of the Lincolnshire Archives	Open	Executive  4 Oct 2016	Depositors' Stakeholder Event; Community and Public Safety Scrutiny Committee	Report	Community Assets and Resilience Commissioning Manager Tel: 01522 553786 Email: nicole.hilton@lincolnshire.gov.uk	All Divisions
I011791	Wellbeing Service Recommissioning Plan	Open	Executive  4 Oct 2016	Community and Public Safety Scrutiny Committee	Report	Consultant in Public Health Tel: 01522 554229 Email: tony.mcginity@lincolnshire.gov.uk	All Divisions
I011790	Children's health services future model and commissioning plan	Exempt	Executive  1 Nov 2016	Children's DMT; Informal Member Working Group; Children and Young People Scrutiny Committee	Exempt Report	Commissioning and Development Officer Tel: 01522 553783 Email: charlotte.gray@lincolnshire.gov.uk	All Divisions



**FORWARD PLAN OF KEY DECISIONS FROM 01 AUGUST 2016**

DEC REF	MATTERS FOR DECISION	REPORT STATUS	DECISION MAKER AND DATE OF DECISION	PEOPLE/GROUPS CONSULTED PRIOR TO DECISION	DOCUMENTS TO BE CONSIDERED	OFFICER(S) FROM WHOM FURTHER INFORMATION CAN BE OBTAINED AND REPRESENTATIONS MADE (All officers are based at County Offices, Newland, Lincoln LN1 1YL unless otherwise stated)	DIVISIONS AFFECTED
I011797	Lincolnshire Minerals and Waste Local Plan: Site Locations Document (Pre-Submission Draft)	Open	Executive 1 Nov 2016	Widespread consultation carried out on an earlier version of the document including local members, district councils, parish councils, statutory and non-statutory consultees and general public; Environmental Scrutiny Committee	Report	Minerals and Waste Policy Team Leader Tel: 01522 554818 Email: adrian.winkley@lincolnshire.gov.uk	All Divisions
I011794	Revenue and Capital Budget Monitoring Report 2016/17	Open	Executive 7 Feb 2017	Overview and Scrutiny Management Committee	Report	County Finance Officer Tel: 01522 553642 Email: david.forbes@lincolnshire.gov.uk	All Divisions
I011792	Review of Financial Performance Report 2016/17	Open	Executive 4 Jul 2017	Overview and Scrutiny Management Committee	Report	County Finance Officer Tel: 01522 553642 Email: david.forbes@lincolnshire.gov.uk	All Divisions

### **FORWARD PLAN OF KEY DECISIONS FROM 01 AUGUST 2016**

DEC REF	MATTERS FOR DECISION	REPORT STATUS	DECISION MAKER AND DATE OF DECISION	PEOPLE/GROUPS CONSULTED PRIOR TO DECISION	DOCUMENTS TO BE CONSIDERED	OFFICER(S) FROM WHOM FURTHER INFORMATION CAN BE OBTAINED AND REPRESENTATIONS MADE (All officers are based at County Offices, Newland, Lincoln LN1 1YL unless otherwise stated)	DIVISIONS AFFECTED
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#### **EXECUTIVE MEMBERS**

Councillor Name	Portfolio
Councillor M J Hill OBE (Leader of the Council)	Governance, Communications, Commissioning, Finance and Property
Councillor Mrs P A Bradwell (Deputy Leader)	Adult Care, Health and Children's Services
Councillor C J Davie	Development <i>(Economic Development, Environment, Planning and Tourism)</i>
Councillor R G Davies	Highways, Transport and IT
Councillor R A Shore	Waste and Recycling
Councillor Mrs S Woolley	NHS Liaison and Community Engagement
Councillor C N Worth	Culture and Emergency Services <i>(Libraries, Heritage, Culture, Registration and Coroners Service, Fire and Rescue and Emergency Planning)</i>
Councillor B Young	Community Safety and People Management <i>(Crime Reduction, Trading Standards, Equality and Diversity, People Management and Legal)</i>